



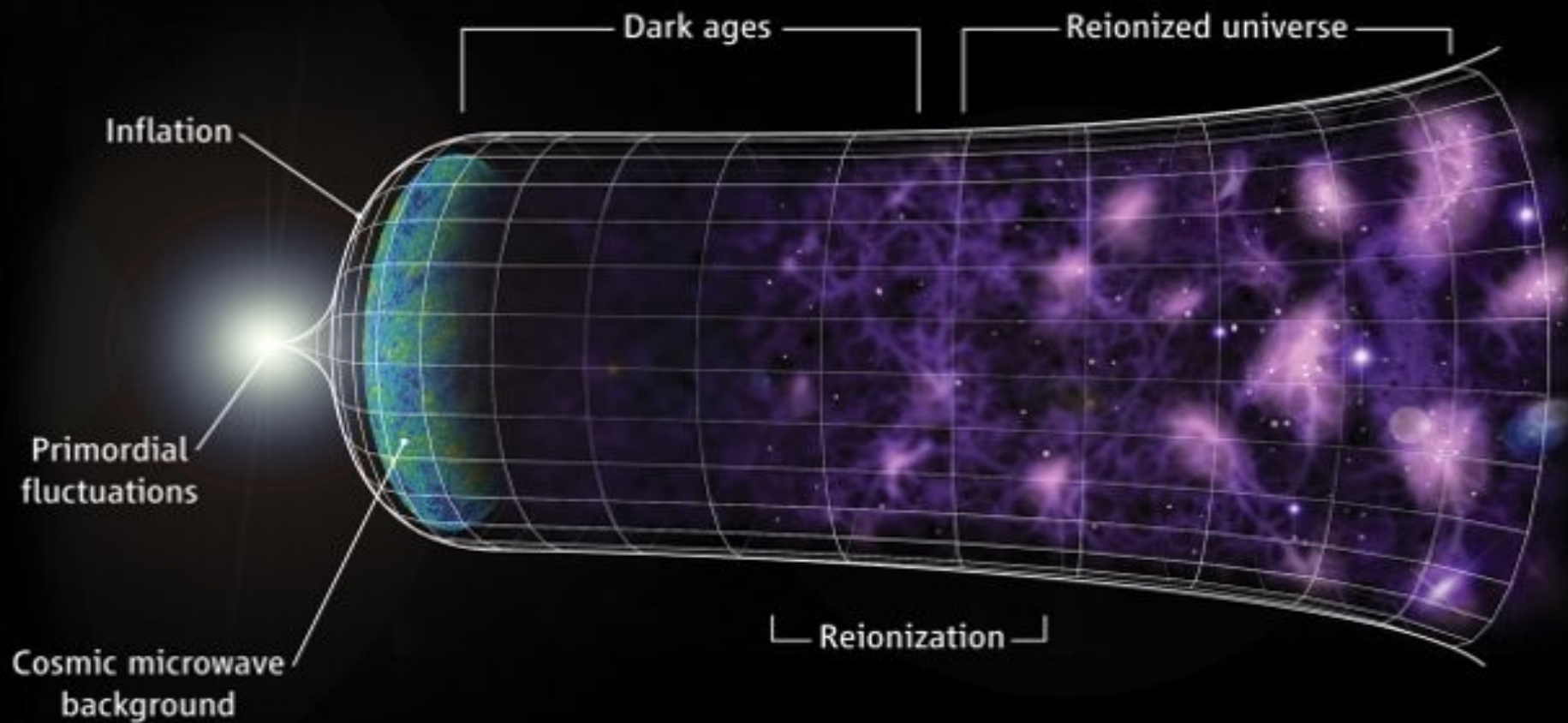
# Dark Matter fermions: from linear to non-linear structure formation

Carlos R. Argüelles

UNLP-CONICET & ICRANet-Italy

In collaboration with: R. Ruffini, J. A. Rueda, A. Krut, E. A. Becerra-Vergara, R. Yunis

Based on: Argüelles et al., PDU (2018, 2019); [arXiv:1606.07040](#); [arXiv:1810.00405](#)  
Becerra-Vergara, Argüelles, et al., A&A (2020); [arXiv:2007.11478](#)  
Yunis, Argüelles, et al. JCAP (2020); [arXiv:2002.05778](#)  
Argüelles et al. MNRAS (2021); [arXiv:2012.11709](#)



# Success of the $\Lambda$ CDM paradigm on large scales

Success of **CDM**:  
**Cold, collisionless fluid!**

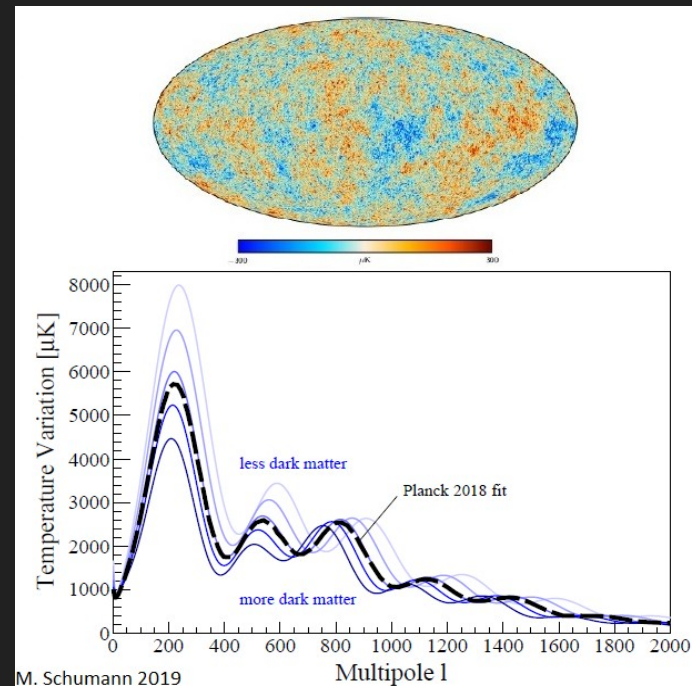


Astrophysical observations (CMB, BAO, Ly- $\alpha$  forest, local distribution and evolution of galaxies, etc) ranging from horizon scale ( $\sim 15000$  Mpc) to the typical scale between galaxies (1 Mpc) are all consistent with a Universe that was seeded by a scale invariant primordial spectrum, and that is dominated by dark energy  $\sim 70\%$  followed by  $\sim 25\%$  of Cold Dark Matter (CDM) and only  $\sim 5\%$  of baryons plus radiation [Planck Collaboration et al., 2016]; [Vogelsberger et al., 2014]; [Kitaura, Angulo, et al., 2012]

**$\Lambda$ CDM Cosmology**

## Cosmological perturbation theory

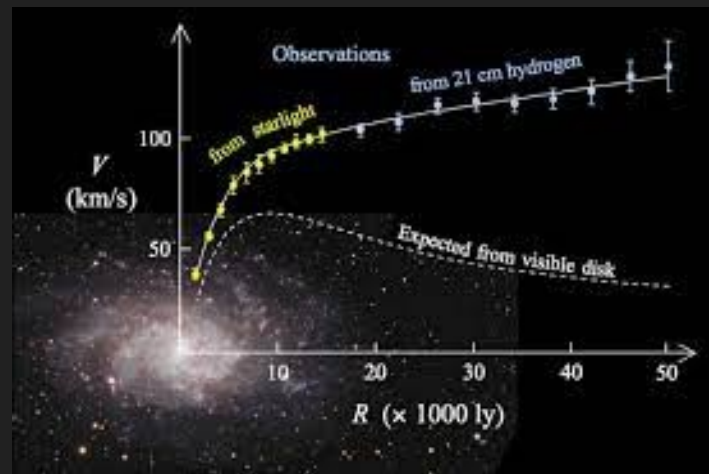
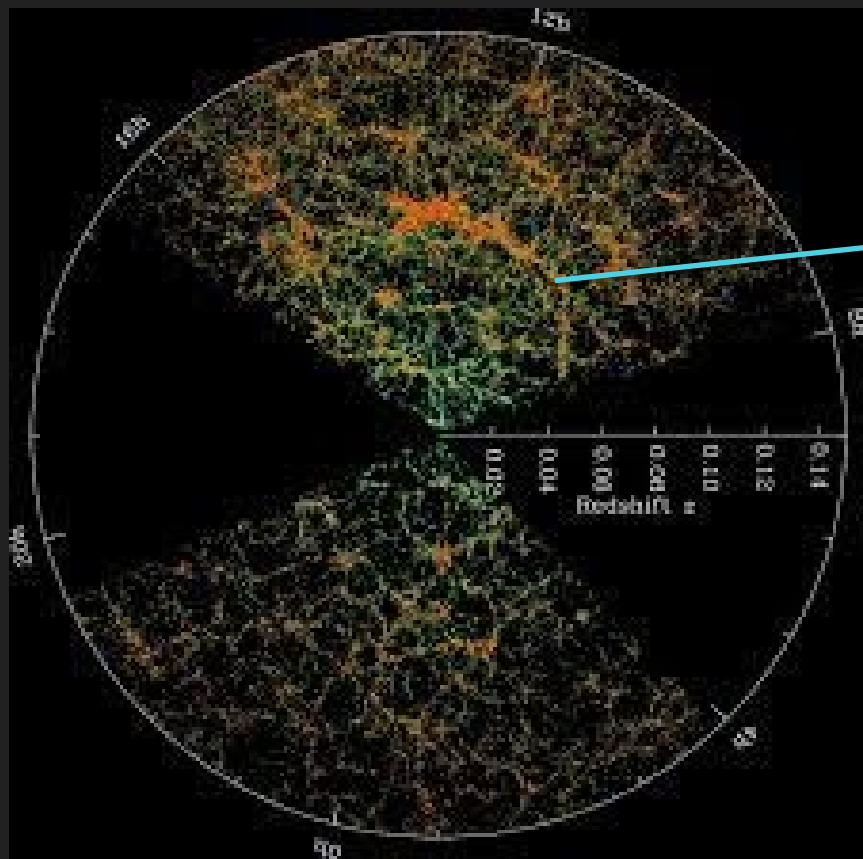
Describes how primordial density perturbations grow into galactic structures due to gravity



M. Schumann 2019

*Compelling evidence for non baryonic matter in the CMB: Need for Dark Matter*

# From large scale structure to DM halo-size structures



# Self-gravitating fermions as Dark Matter in galaxies

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# DM halo formation: collisionless relaxation & coarse-grained Entropy

## Maximum

- DM as a collisionless particle system described by a mean-field **Vlasov-Poisson** equation

$$f = f(\mathbf{x}, \mathbf{v}, t) \quad \text{mass density of particles in phase-space } (\mathbf{x}, \mathbf{v})$$

$$\frac{df}{dt} \equiv \frac{\partial f}{\partial t} + \mathbf{v} \frac{\partial f}{\partial \mathbf{r}} + \mathbf{F} \frac{\partial f}{\partial \mathbf{v}} = 0, \quad \xrightarrow{\quad \quad \quad} \quad \frac{\partial \bar{f}}{\partial t} + \mathbf{v} \frac{\partial \bar{f}}{\partial \mathbf{r}} + \bar{\mathbf{F}} \frac{\partial \bar{f}}{\partial \mathbf{v}} = - \frac{\partial \mathbf{J}}{\partial \mathbf{v}} \quad (1)$$

$$\Delta \Phi = 4\pi G n. \quad n(\mathbf{r}, t) = \int f(\mathbf{r}, \mathbf{v}, t) d^3 \mathbf{v} \quad \begin{array}{l} 1) \quad f = \bar{f} + \tilde{f} \\ 2) \text{ Take local average of V-P} \end{array}$$

$$\mathbf{J} = \overline{\tilde{f} \tilde{\mathbf{F}}}$$

$$\mathbf{F} = -\nabla \Phi$$

Diffusion current

$\bar{f}$ : coarse-grained;  $\tilde{f}$ : fine-grained fluctuations

- Ask  $\mathbf{J}$  to fulfill macroscopic constraints: 1st and 2nd laws of thermodynamics

$$\dot{E} = \int \mathbf{J} \cdot \mathbf{v} d^3 \mathbf{r} d^3 \mathbf{v} = 0. \quad \dot{S} = - \int \frac{1}{\bar{f}(\eta_0 - \bar{f})} \frac{\partial f}{\partial \mathbf{v}} \mathbf{J} d^3 \mathbf{r} d^3 \mathbf{v} \geq 0.$$

**Maximum Entropy production**  
Ple. Chavanis, MNRAS (1998)

# Collisionless relaxation and Fermi-Dirac phase space distributions

- During its evolution the system maximizes its rate of entropy creation while satisfying the constraints fulfilled by the dynamics: **Maximum Entropy Production Principle** (MEPP)
- Applying the **MEPP + quasi-linear theory** (Chavanis, MNRAS 1998), equation (1) is written as a modified Landau-equation, **allowing to obtain J**  $\implies$   $t_{ncoll} \ll t_{coll}$

$$\frac{d\bar{f}}{d\epsilon} + \beta\eta_0\bar{f} - \beta\bar{f}^2 + J = 0 \quad \xrightarrow{J=cte} \quad \bar{f} = \eta_0 \frac{1 - e^{\beta(\epsilon - \epsilon_m)}}{1 + e^{\beta\epsilon + \alpha}}$$

**stationary** solution of Fermi-Dirac type including for evaporation: generalization of Lynden-Bell DF

- Lynden-Bell's violent relaxation mechanism: **extended** in Kull et al., Apj (1996) for indistinguishable particles (e.g. **neutrinos**)
- **For fermions**, the maximum accesible value of the DF is fixed by the **Pauli principle**  $\implies$

$$\eta_0 = gm^4 / h^3$$

# DM halos as equilibrium systems of self-gravitating fermions

- **Fermions** under self-gravity DO ADMIT a **perfect fluid approximation**  
Ruffini & Bonazzola, Phys. Rev. (1969) - by solving Einstein Dirac equations -
- We solve Einstein equations for a semi-degenerate gas of fermions in hydrostatic equilibrium (i.e. **T.O.V**), in spherical symmetry Argüelles, Krut, Rueda, Ruffini, PDU (2018)

$$\frac{d\hat{M}}{d\hat{r}} = 4\pi\hat{r}^2\hat{\rho}$$

$$\frac{dv}{d\hat{r}} = \frac{2(\hat{M} + 4\pi\hat{P}\hat{r}^3)}{\hat{r}^2(1 - 2\hat{M}/\hat{r})} \quad \longrightarrow \quad \text{T.O.V}$$

$$\frac{d\theta}{d\hat{r}} = -\frac{1 - \beta_0(\theta - \theta_0)}{\beta_0} \frac{1}{2} \frac{dv}{d\hat{r}} \quad \longrightarrow \quad \text{KLEIN}$$

$$\beta(\hat{r}) = \beta_0 e^{\frac{v_0 - v(\hat{r})}{2}} \quad \longrightarrow \quad \text{TOLMAN}$$

$$W(\hat{r}) = W_0 + \theta(\hat{r}) - \theta_0 \quad \longrightarrow \quad \text{E conserv.}$$

$$\rho(r) = m \frac{2}{h^3} \int f(r, p) \left[ 1 + \frac{\epsilon(p)}{mc^2} \right] d^3 p,$$

$$P(r) = \frac{1}{3} \frac{2}{h^3} \int f(r, p) \left[ 1 + \frac{\epsilon(p)}{mc^2} \right]^{-1} \left[ 1 + \frac{\epsilon(p)}{2mc^2} \right] \epsilon d^3 p.$$

$$f(r, p) = \begin{cases} \frac{1 - e^{(\epsilon - \epsilon_c)/kT}}{e^{(\epsilon - \mu)/kT} + 1}, & \epsilon \leq \epsilon_c \\ 0, & \epsilon > \epsilon_c \end{cases}$$

$$\epsilon(p) = \sqrt{c^2 p^2 + m^2 c^4} - mc^2$$

**Free parameters** (evaluated at the center,  $r=0$ )

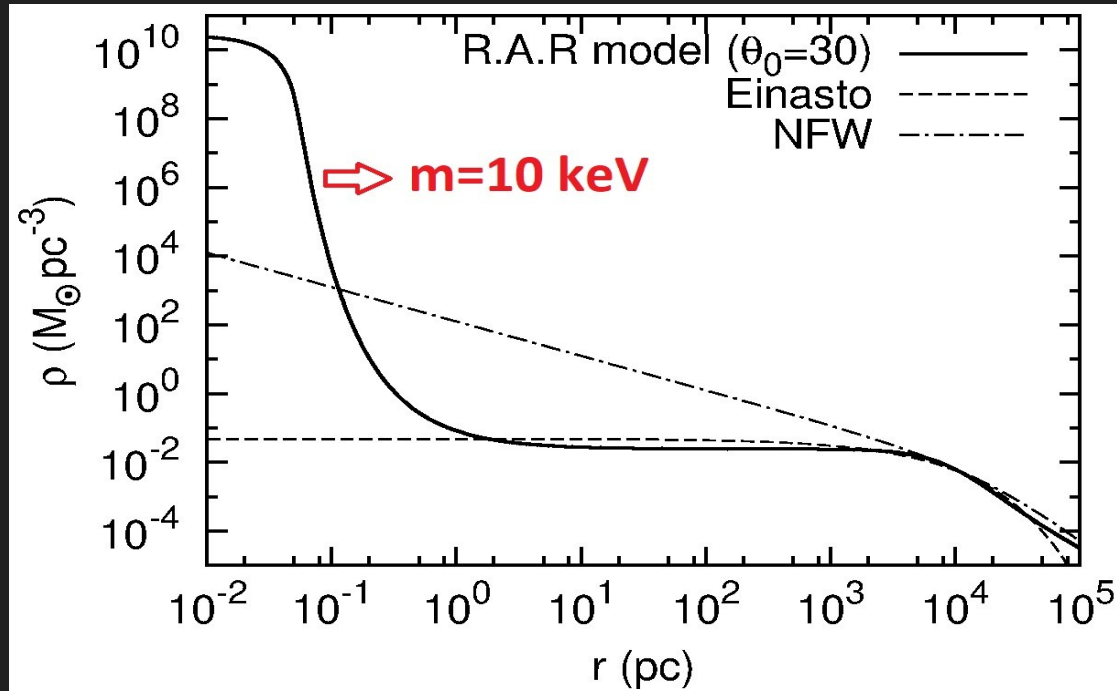
$$m, \beta = kT/mc^2, \theta = \mu/kT \text{ and } W = \epsilon_c/kT$$

$$M(0) = 0; \quad v_0 = 0; \quad \theta(0) = \theta_0 > 0; \quad \beta(0) = \beta_0; \quad W(0) = W_0$$



# A novel "core – halo" Dark Matter profile for fermions

- The highly non-linear system of coupled ODE is solved fulfilling a **boundary condition problem in agreement with halo observables** Ruffini, Argüelles, Rueda, MNRAS (2015)



Example: Typical spiral halo

$R_h \sim 10^4 \text{ pc}$

$M_h \sim 10^{11} M_{\odot}$

The dense central core fulfills the 'quantum condition' :

$(\lambda_B > 3/l_c)$  satisfied for  $\theta_0 > 10$

DM profiles **depend** on the **particle mass** (see next slides)

# Stability and lifetime of self-gravitating systems in cosmology



## On the formation and stability of fermionic dark matter haloes in a cosmological framework

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<sup>2</sup>*Laboratoire de Physique, École Normale Supérieure, CNRS, Université PSL, Sorbonne Université, Université de Paris, F-75005 Paris, France*

<sup>3</sup>*Departamento de Física, Facultad de Ciencias Exactas y Naturales, Universidad de Buenos Aires, Pabellón I, Ciudad Universitaria, 1428 Buenos Aires, Argentina*

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### ABSTRACT

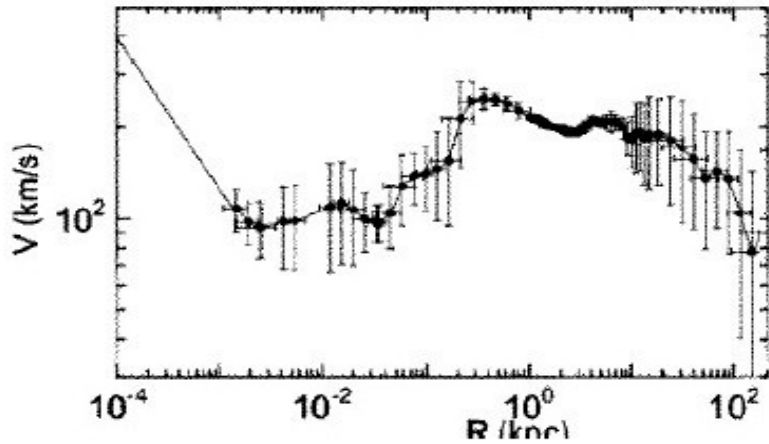
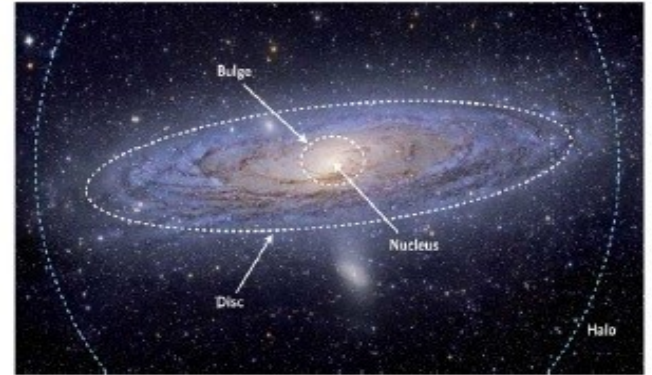
The formation and stability of collisionless self-gravitating systems are long-standing problems, which date back to the work of D. Lynden-Bell on violent relaxation and extends to the issue of virialization of dark matter (DM) haloes. An important prediction of such a relaxation process is that spherical equilibrium states can be described by a Fermi–Dirac phase-space distribution, when the extremization of a coarse-grained entropy is reached. In the case of DM fermions, the most general solution develops a degenerate compact core surrounded by a diluted halo. As shown recently, the latter is able to explain the galaxy rotation curves, while the DM core can mimic the central black hole. A yet open problem is whether these kinds of astrophysical core–halo configurations can form at all, and whether they remain stable within cosmological time-scales. We assess these issues by performing a thermodynamic stability analysis in the microcanonical ensemble for solutions with a given particle number at halo virialization in a cosmological framework. For the first time, we demonstrate that the above core–halo DM profiles are stable (i.e. maxima of entropy) and extremely long-lived. We find the existence of a critical point at the onset of instability of the core–halo solutions, where the fermion-core collapses towards a supermassive black hole. For particle masses in the keV range, the core-collapse can only occur for  $M_{\text{vir}} \gtrsim 10^9 M_{\odot}$  starting at  $z_{\text{vir}} \approx 10$  in the given cosmological framework. Our results prove that DM haloes with a core–halo morphology are a very plausible outcome within non-linear stages of structure formation.

# The case of the Milky Way and the Galaxy center

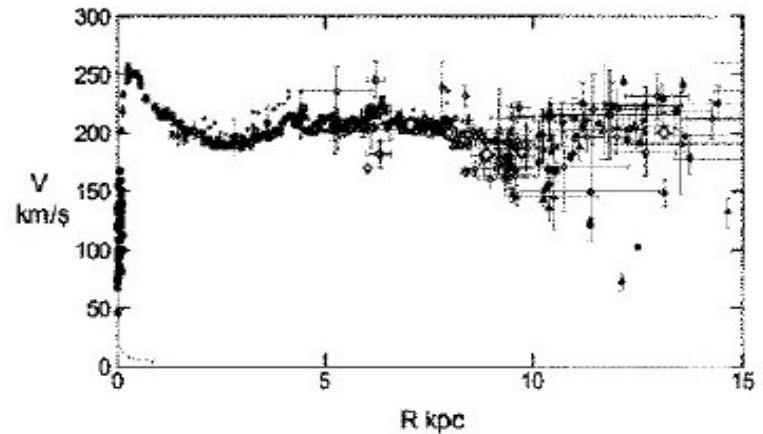
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# Milky Way observables: from central parsec to outer halo

- central pc governed by a dark compact object of mass  $M_c \sim 4 \times 10^6 M_\odot$
- central kpc governed by an inner and main spheroidal Bulge
- central 10 kpc governed by a flat disk
- outer region governed by a DM spherical halo with  $M_h(r = 25\text{kpc}) \approx 10^{11} M_\odot$

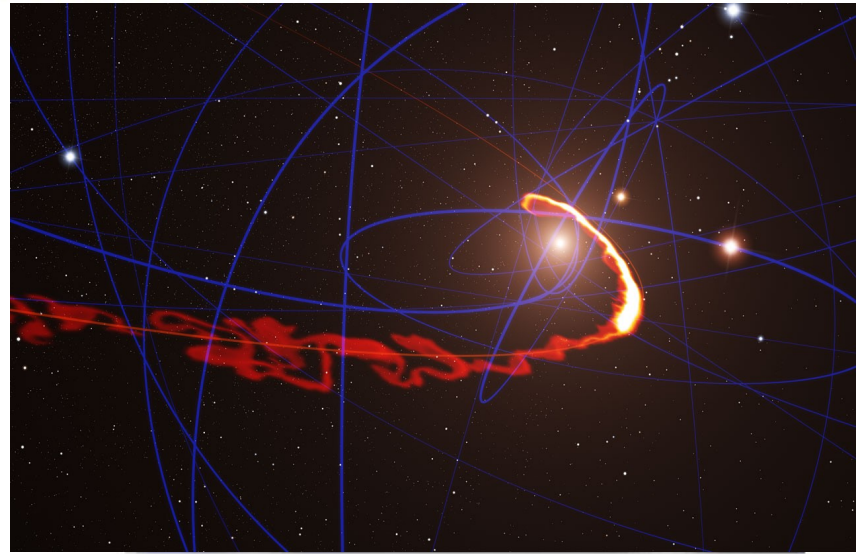
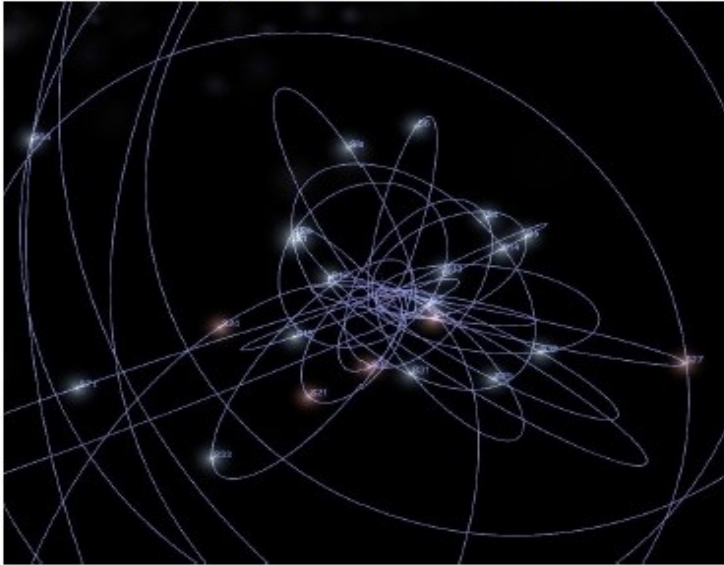


Y. Sofue, (PASJ) (2013)



# Milky Way observables. Inside the central pc: the S-star cluster

- The central  $10^{-3} \text{ pc} \lesssim r \lesssim 2 \text{ pc}$  consist in young S-stars and molecular gas obeying a Keplerian law ( $v \propto r^{-1/2}$ )
- The observational near-IR technics were developed in *S. Gillessen et al. (Apj) (2009)* and in *S. Gillessen et al. (Apj) (2015)* for S-stars and gas cloud G2



Observations implies  $M_c \approx 4.2 \times 10^6 M_\odot$  within  $r_{p(S2)} \approx 6 \times 10^{-4} \text{ pc}$

**Fermionic 'core – halo' profiles: can their overall gravitational potential explain the Milky Way rotation curve as well as the S-star dynamics without the central BH hypothesis?**

**Hint:** Need to solve the former boundary condition problem searching for a set of free R.A.R parameters able to fulfill:

$M_c = 4.2 \times 10^6 \text{ Mo}$  Gillessen et al., Apj (2017)

$M(r = 20 \text{ kpc}) = 9 \times 10^{10} \text{ Mo}$  Sofue, PASJ (2013)

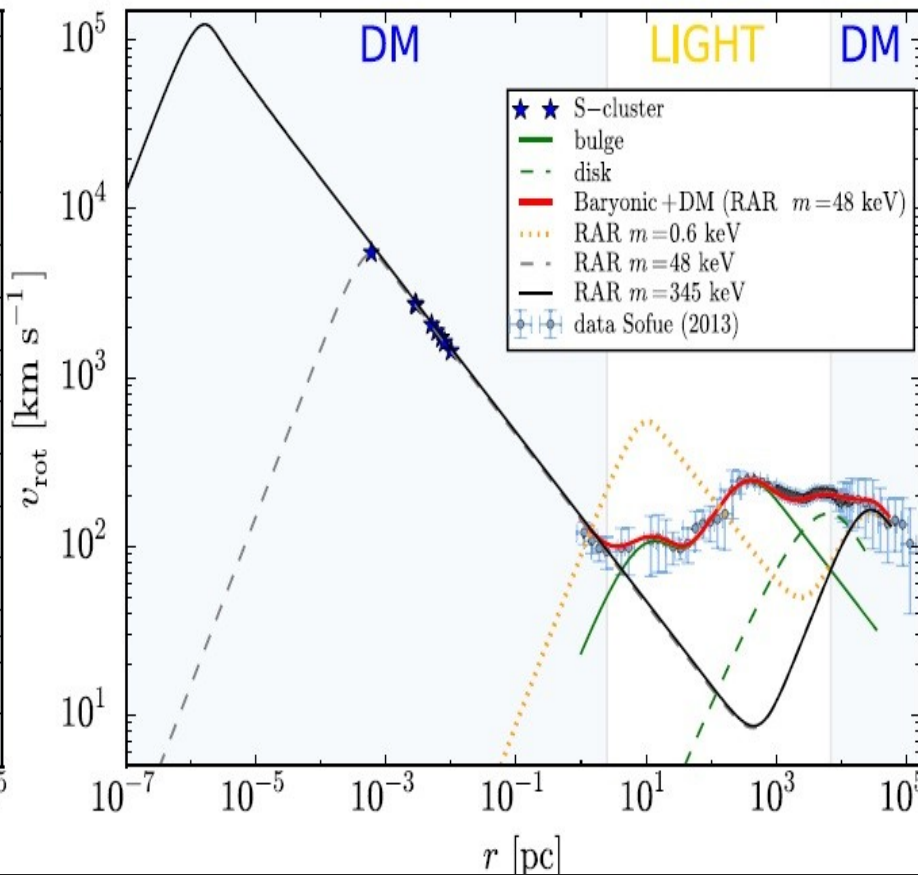
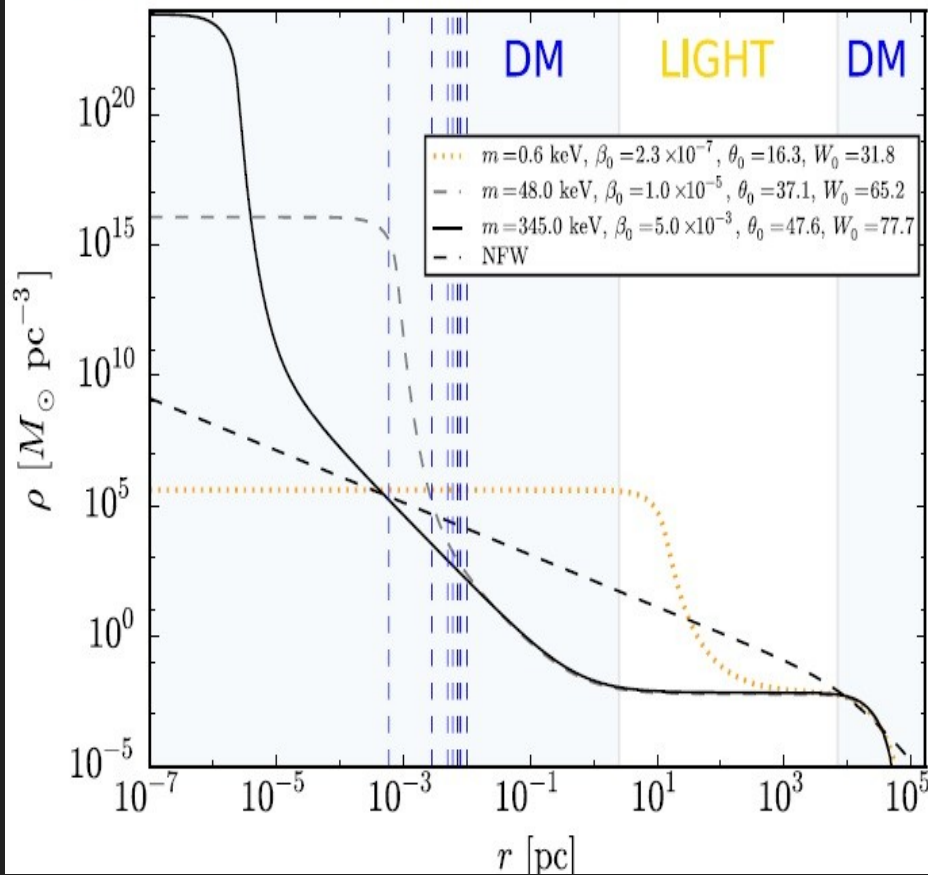
$M(r = 40 \text{ kpc}) = 2 \times 10^{11} \text{ Mo}$  Gibbons, Belokurov and Evans, MNRAS (2014)

# Novel constraints on fermionic dark matter from galactic observables I: The Milky Way



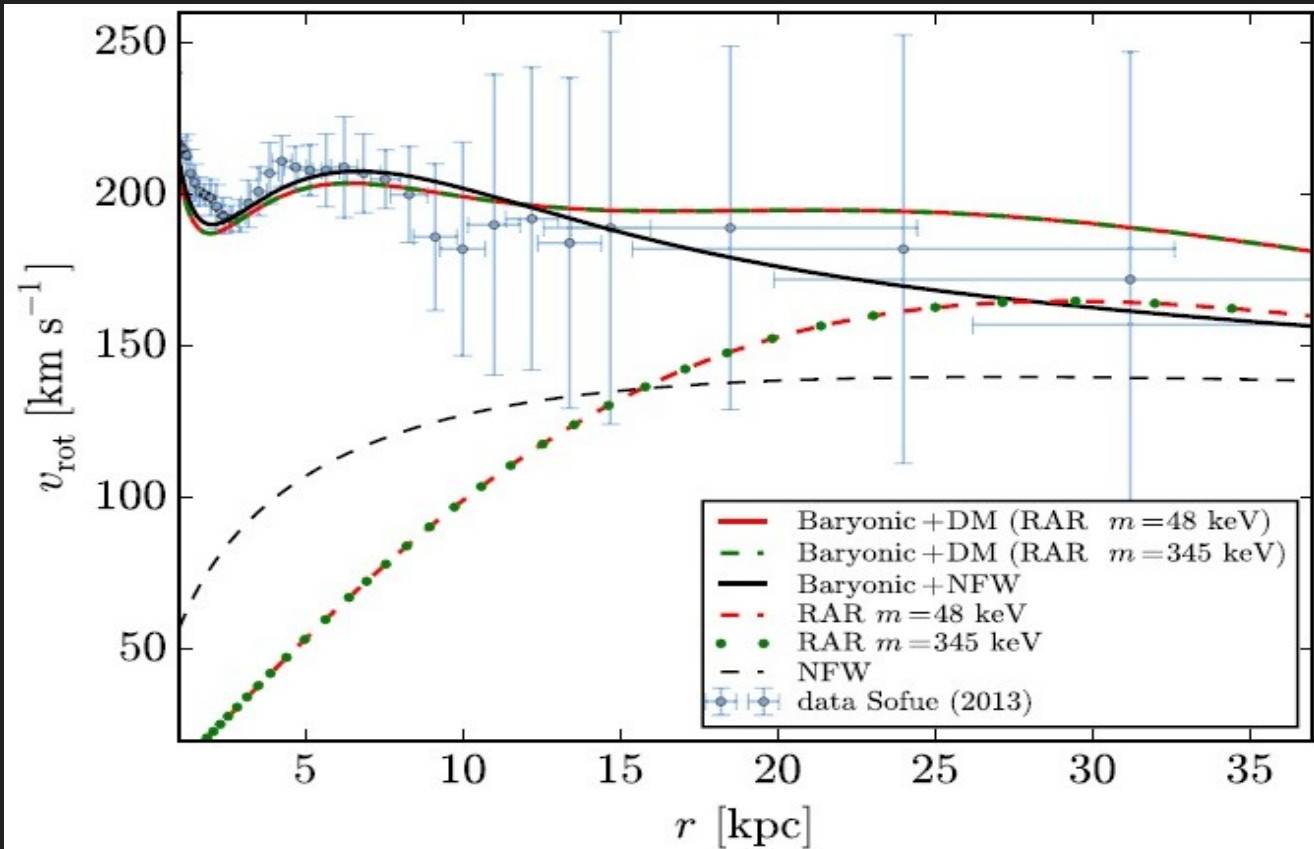
Physics of the Dark Universe 21 (2018) 82–89

C.R. Argüelles<sup>a,b,\*</sup>, A. Krut<sup>b,c,d</sup>, J.A. Rueda<sup>b,c,e</sup>, R. Ruffini<sup>b,c,e</sup>





# The fermionic halo: excellent fit to the Milky Way rotation curve



# The DM core: an alternative to the BH paradigm at the SgrA\* Galaxy center

A&A 641, A34 (2020)

<https://doi.org/10.1051/0004-6361/201935990>

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**Astronomy  
&  
Astrophysics**

## Geodesic motion of S2 and G2 as a test of the fermionic dark matter nature of our Galactic core

E. A. Becerra-Vergara<sup>1,2,3</sup>, C. R. Argüelles<sup>1,2,4</sup>, A. Krut<sup>1,2</sup>, J. A. Rueda<sup>1,2,5,6,7</sup>, and R. Ruffini<sup>1,2,5,6,8</sup>

Any alternative model to the central BH scenario MUST explain: (Data: VLT, Keck I – II Gemini North, Subaru)

The multiyear accurate astrometric data of S2-star around SgrA\*, including the relativistic redshift GRAVITY collab. (2018); Do et al., Science (2019)

The currently available data on the orbit and redshift of the G2 object, Plewa et al. Apj (2017); Gillessen et al. Apj (2019) ;

The G2 post-pericenter passage deceleration (explained by a drag force in the BH scenario)

## THEORETICAL and OBSERVED orbit of S2 around SgrA\*

Red : R.A.R model

Blue : BH model

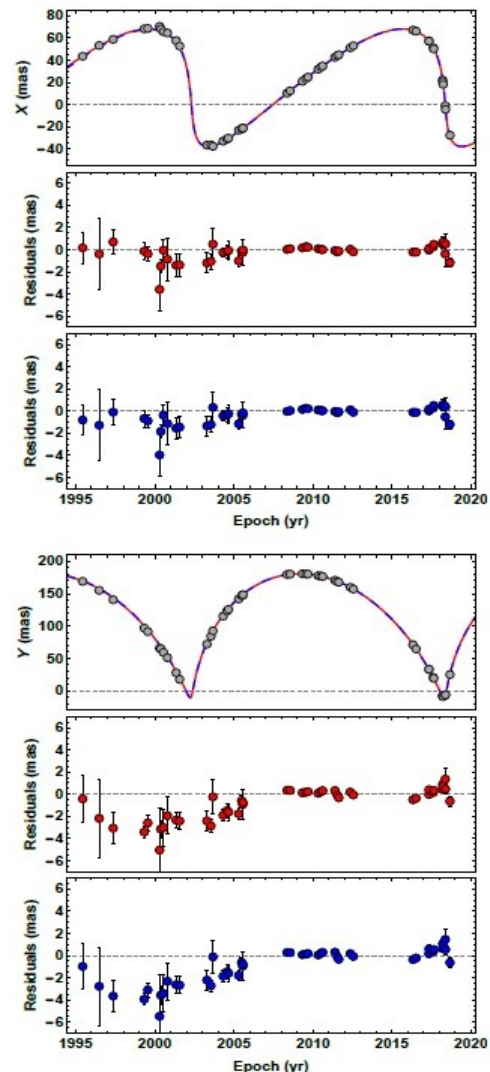
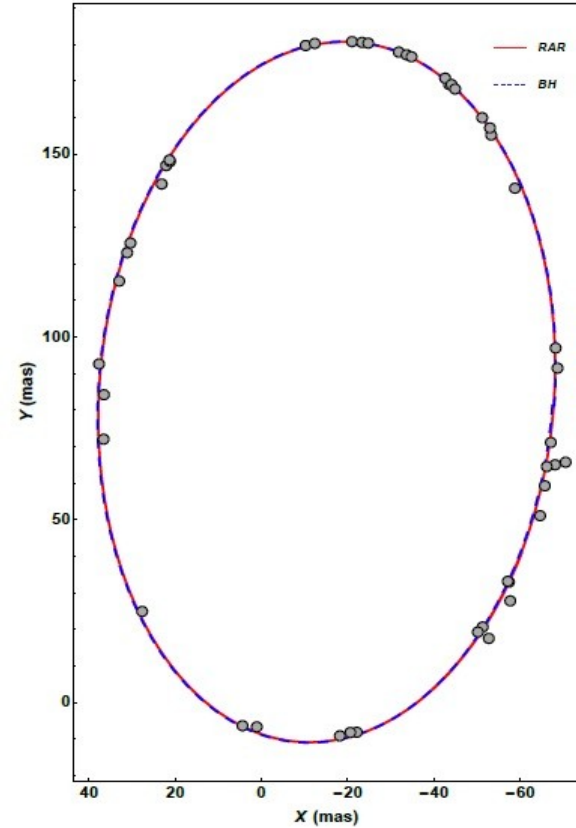
**THEORETICAL MODELS:** calculated by solving the e.o.m of a test particle in the gravitational field of:

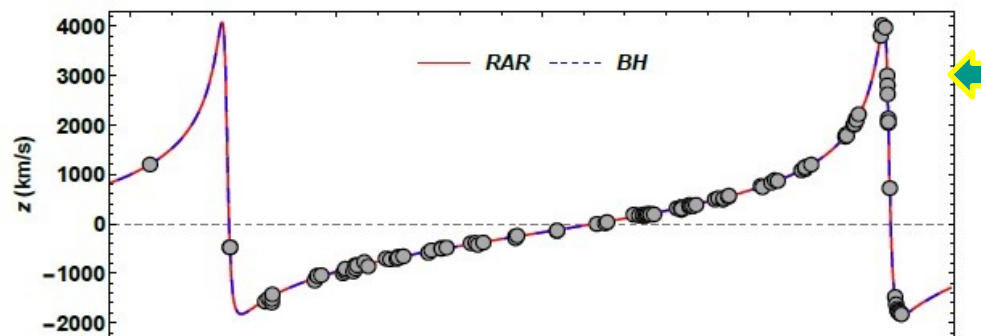
- 1) Schwarzschild BH of  $4.07 \times 10^6$  Mo

$$\langle \bar{\chi}^2 \rangle_{\text{BH}} = 3.3586$$

- 2) Fermionic DM distribution with  $M_c = 3.5 \times 10^6$  Mo (fermion mass  $m = 56$  keV)

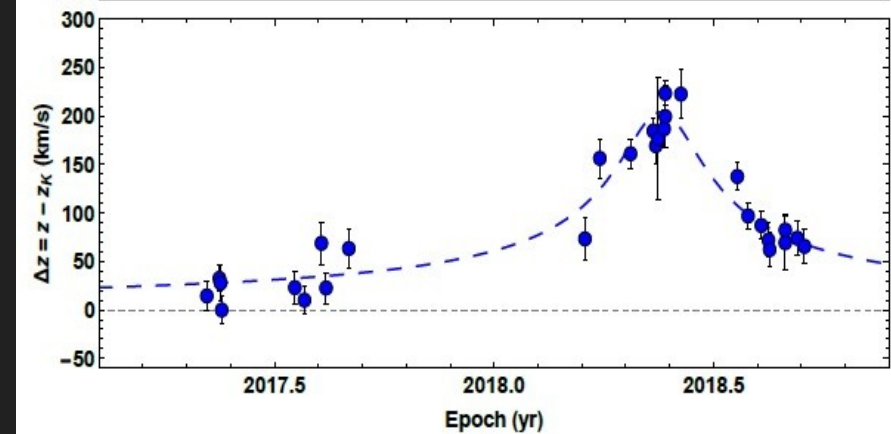
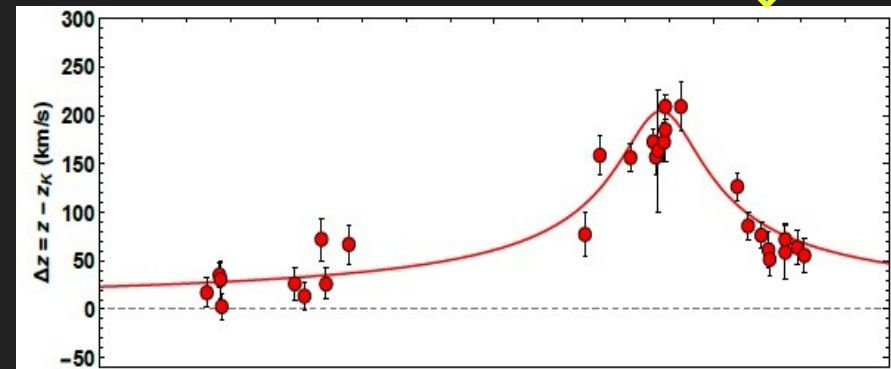
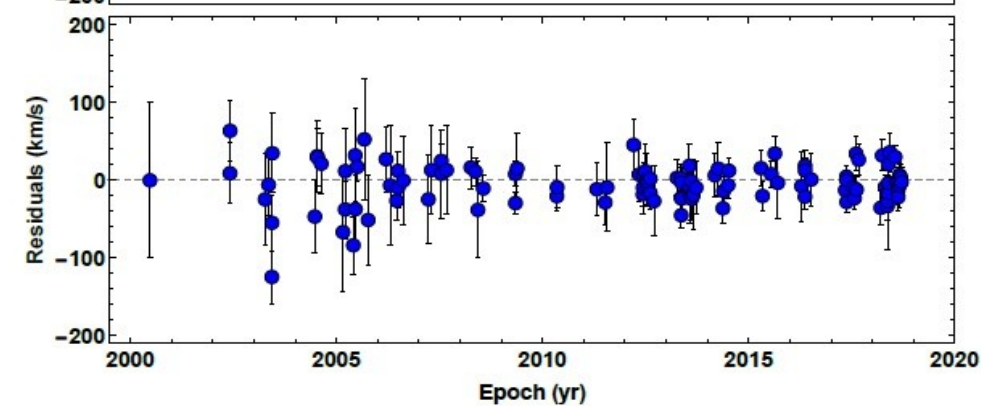
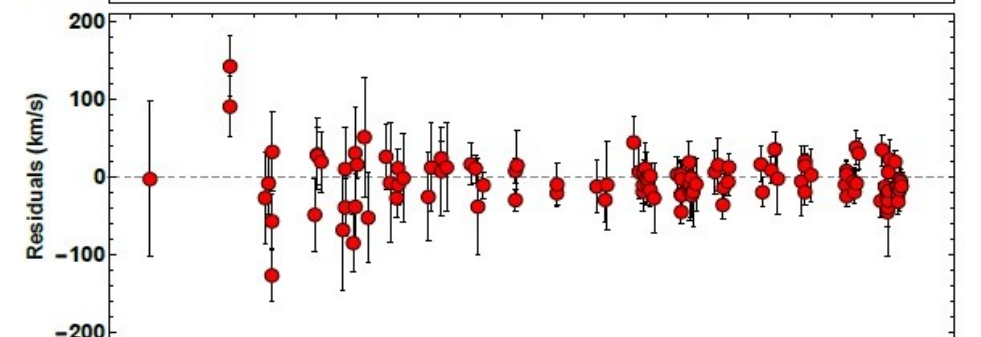
$$\langle \bar{\chi}^2 \rangle_{\text{RAR}} = 3.0725$$

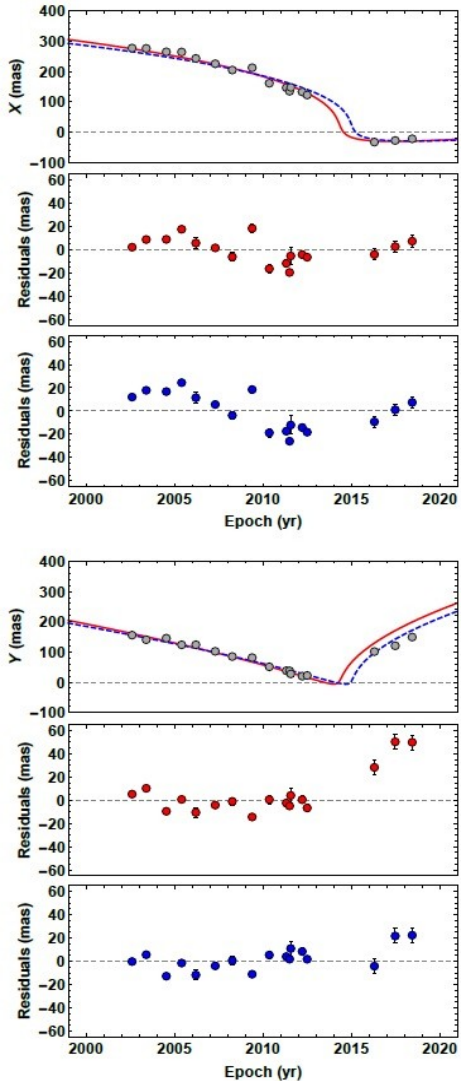
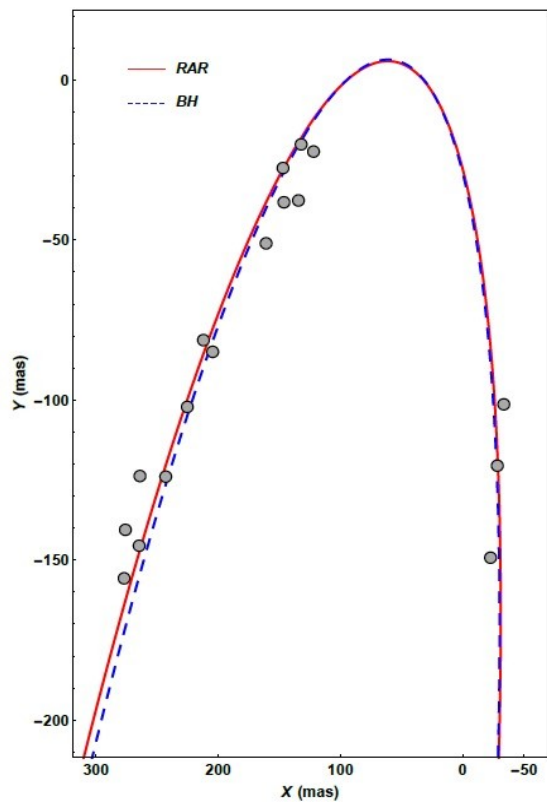




THEORETICAL and OBSERVED line of sight radial velocity (i.e.  $z$ ) of S2 around SgrA\*

Redshift excess (w.r.t Keplerian  $Z_K$ )





## THEORETICAL and OBSERVED orbit of G2 around SgrA\*

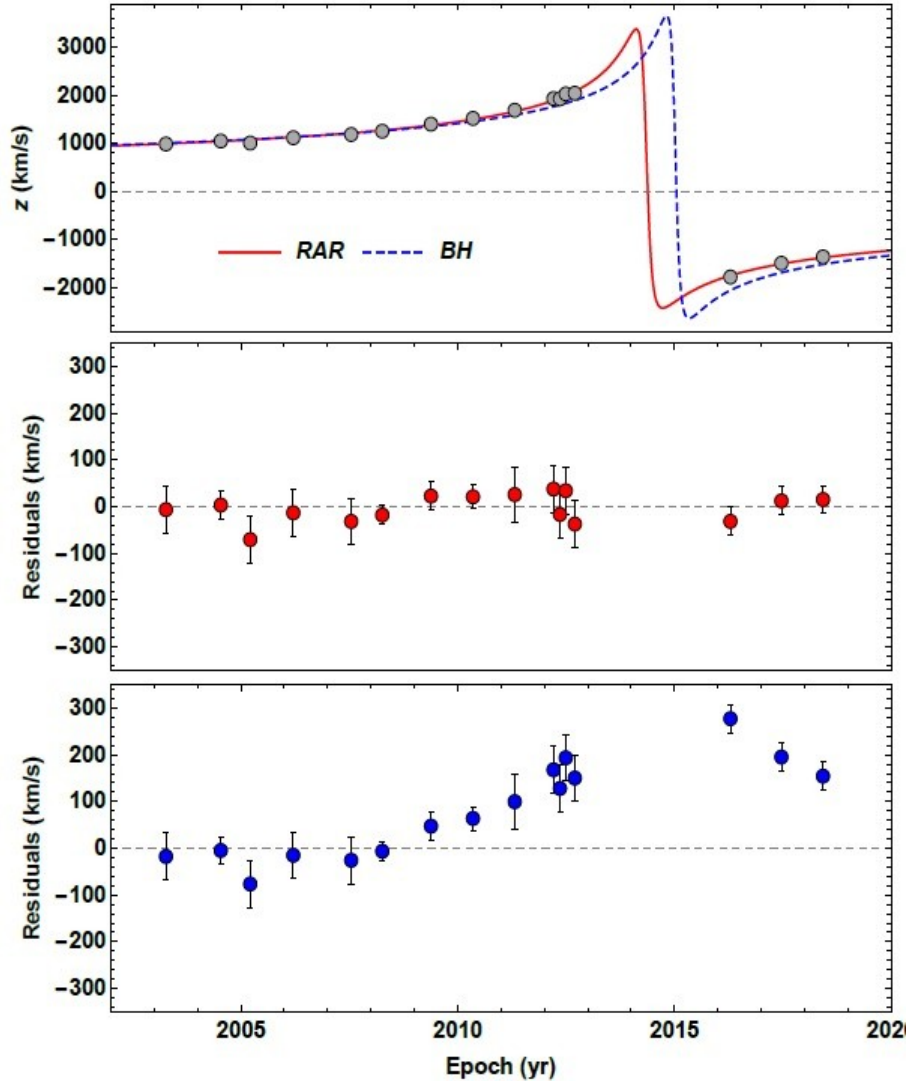
Red : R.A.R model

Blue : BH model

**THEORETICAL MODELS:** calculated by solving the e.o.m of a test particle in the gravitational field of:

1) Schwarzschild BH of  $4.07 \times 10^6$  Mo

2) Fermionic DM distribution with  $M_c = 3.5 \times 10^6$  Mo (fermion mass  $m = 56$  keV)



## THEORETICAL and OBSERVED line of sight radial velocity (i.e. $z$ ) of G2 around SgrA\*

Red : R.A.R model

Blue : BH model

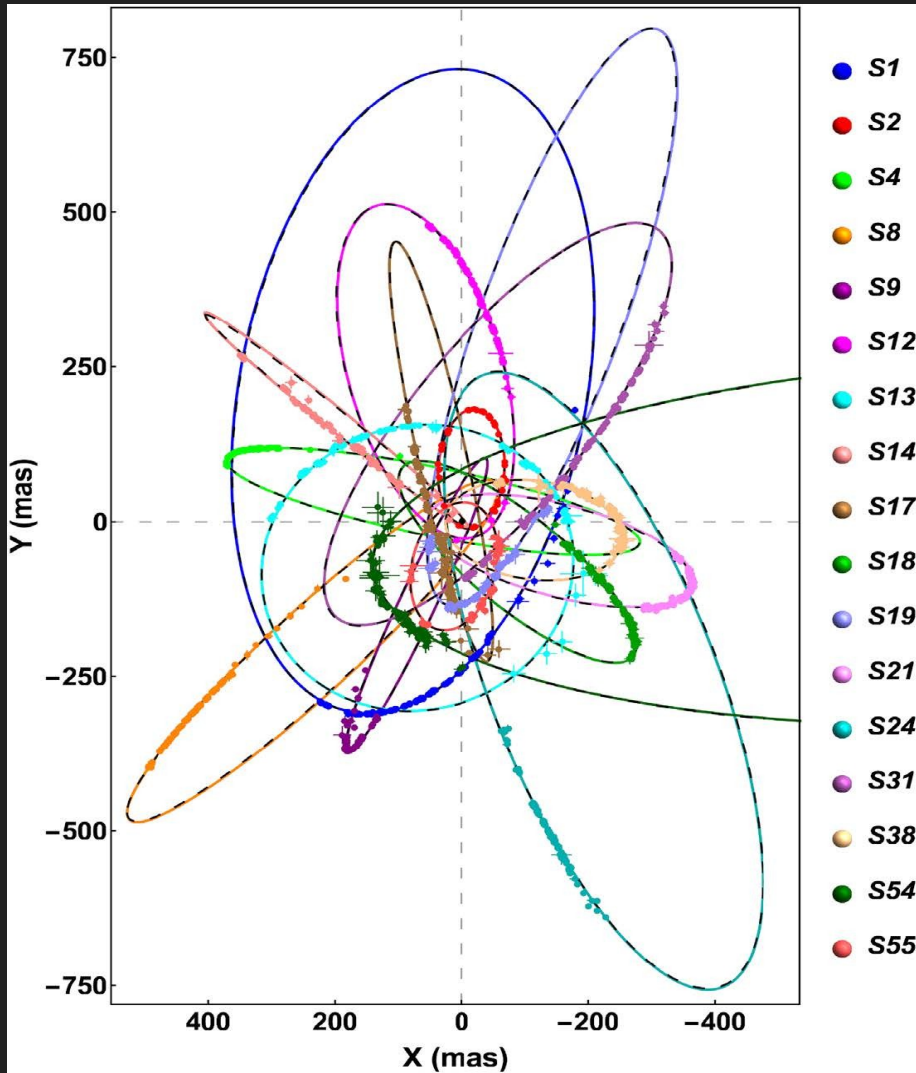
**THEORETICAL MODELS:** calculated by solving the e.o.m of a test particle in the gravitational field of:

- 1) Schwarzschild BH of  $4.07 \times 10^6$  Mo

$$\bar{\chi}_z^2_{\text{BH}} = 26.3927$$

- 2) Fermionic DM distribution with  $M_c = 3.5 \times 10^6$  Mo (fermion mass  $m = 56$  keV)

$$\bar{\chi}_z^2_{\text{RAR}} = 0.9960$$



## THEORETICAL and OBSERVED 17 best-resolved S-star orbits around SgrA\*

**THEORETICAL MODELS:** calculated by solving the geodesic equation of a test particle in the gravitational field of:

1) Schwarzschild BH of  $4.07 \times 10^6 \text{ Mo}$

$$\langle \bar{\chi}^2 \rangle_{\text{RAR}} = 1.5$$

2) Fermionic DM distribution with  $M_c = 3.5 \times 10^6 \text{ Mo}$  (fermion mass  $m = 56 \text{ keV}$ )

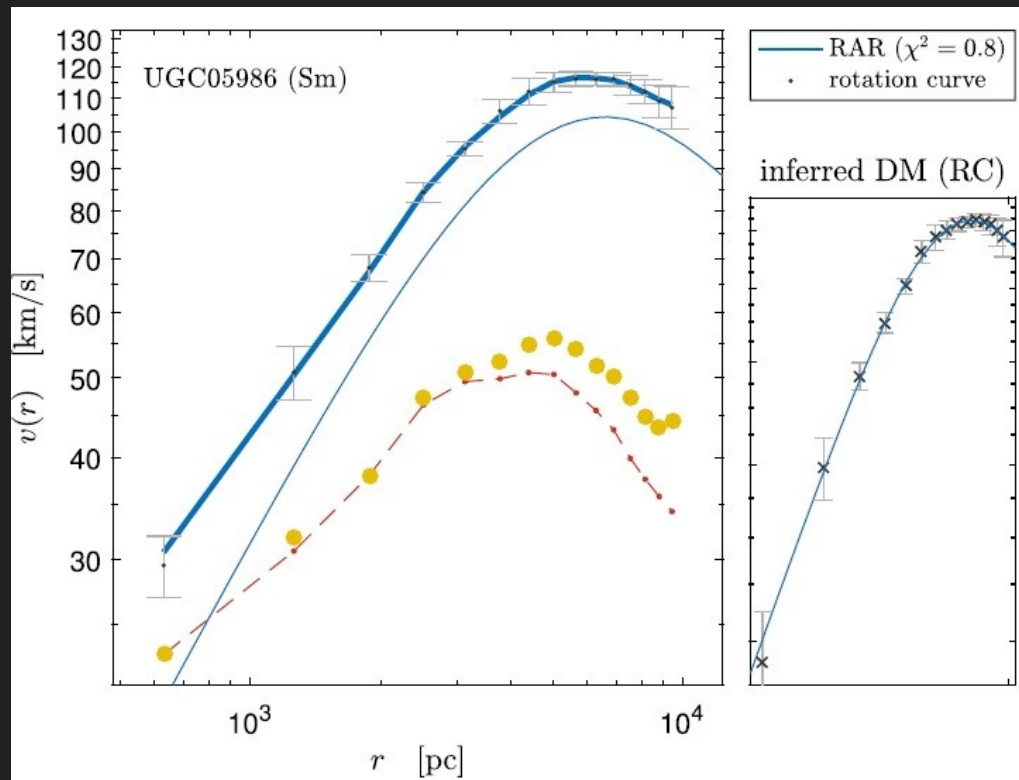
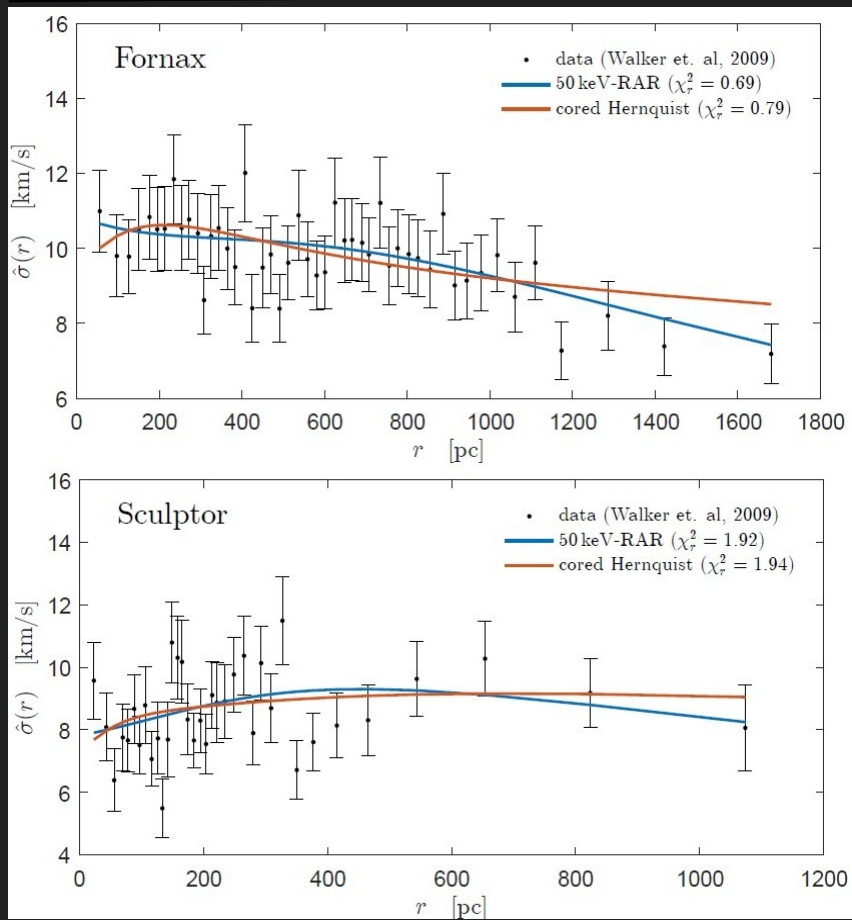
$$\langle \bar{\chi}^2 \rangle_{\text{BH}} = 1.6$$

# Universality of the fermionic DM profiles: from dwarf to elliptical galaxies

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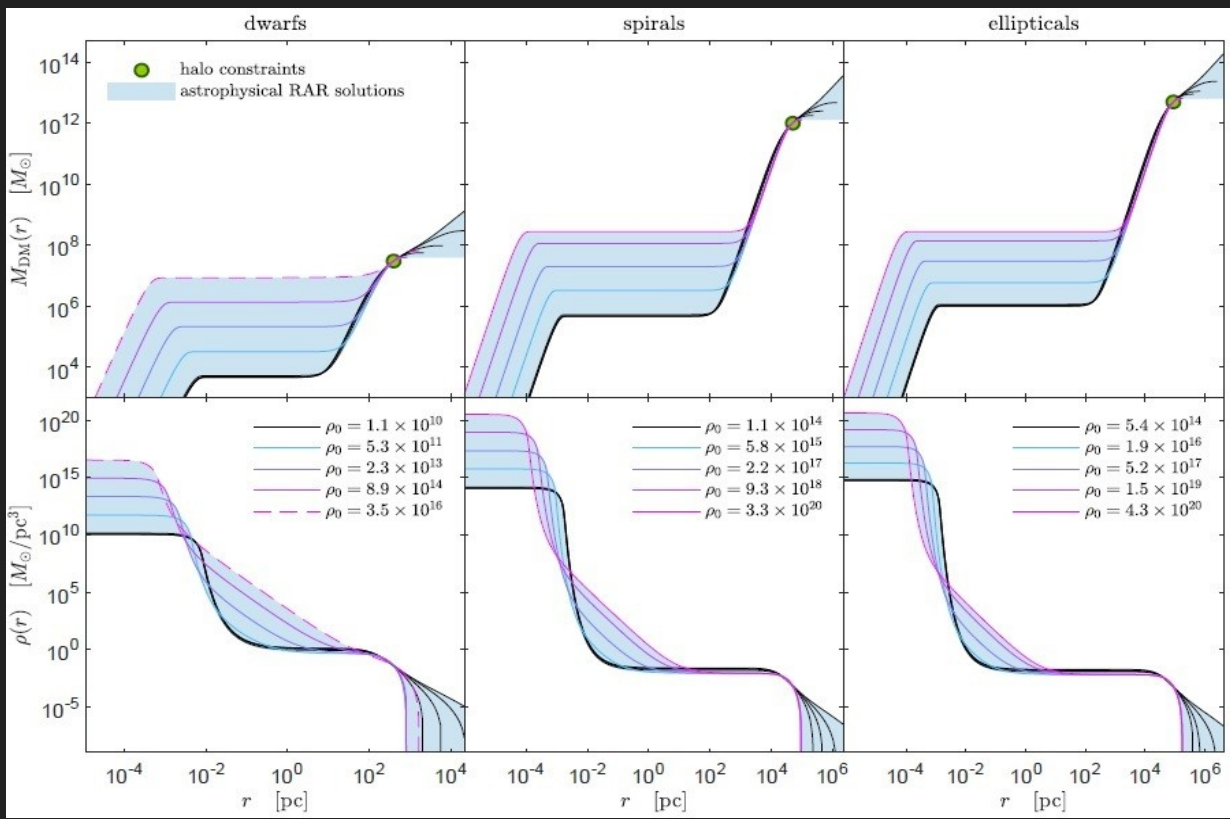


# L.o.S dispersion velocity data and high resolution rotation curves in disk galaxies are well reproduced by the model



# From dwarf to elliptical to galaxy clusters

- The same fermionic model can be applied to other galaxy types, from dwarf, to ellipticals, to galaxy clusters Argüelles, Krut, Rueda, Ruffini, PDU (2019)



For  $m \sim 50$  keV we make a full coverage of free parameters of the theory, for realistic boundary conditions inferred from observables :

**DWARFS:** eight best resolved MW satellites

$r_{h(d)} = 400 \text{ pc}$   
 $M_{h(d)} = 3 \times 10^7 M_\odot$

**SPIRALS:** sample of nearby disk galaxies from THINGS

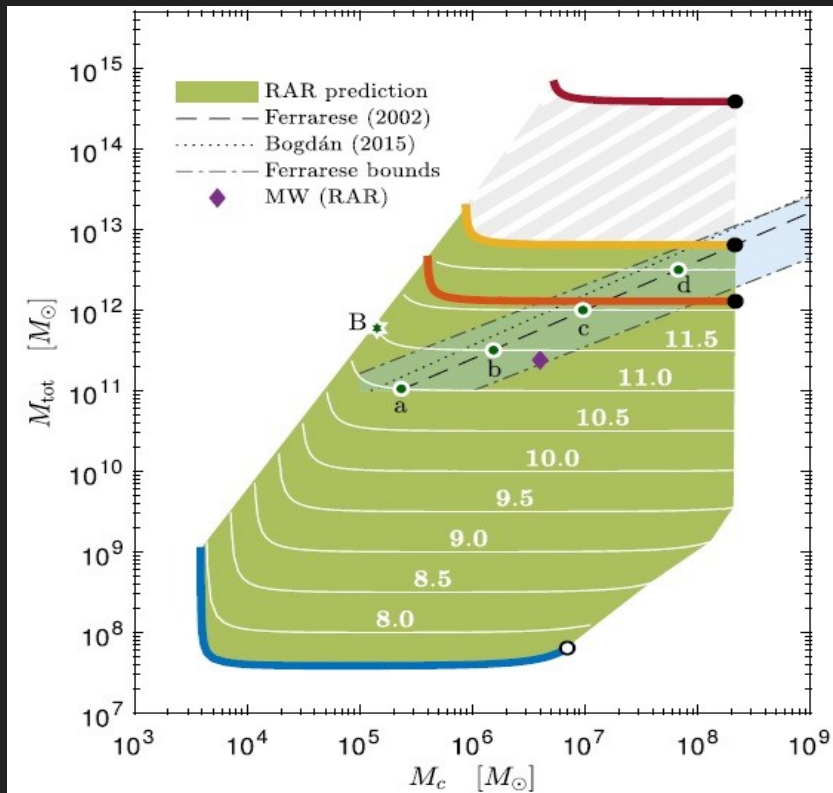
$r_{h(s)} = 50 \text{ kpc}$   
 $M_{h(s)} = 1 \times 10^{12} M_\odot$

**ELLIPTICALS:** sample analyzed via weak lens

$r_{h(e)} = 90 \text{ kpc}$   
 $M_{h(e)} = 5 \times 10^{12} M_\odot$

# Universal galaxy relations: from dwarf to elliptical to galaxy clusters

- The model has PREDICTIVE power: the **central DM core-masses provides alternatives** either to **intermediate-mass BHs** ( $M_c \sim 10^4 M_\odot$  for dwarfs), **up to super massive BHs** ( $M_c \sim 10^8 M_\odot$  for Seyfert and elliptical galaxies) **Argüelles, Krut, Rueda, Ruffini, PDU (2019)**



The degeneracy-pressure-supported DM cores, become gravitationally unstable when reaching the critical mass, collapsing to a super massive BH

For  $m \sim 50$  keV

$$M_c^{\text{cr}} \sim 2 \times 10^8 M_\odot$$



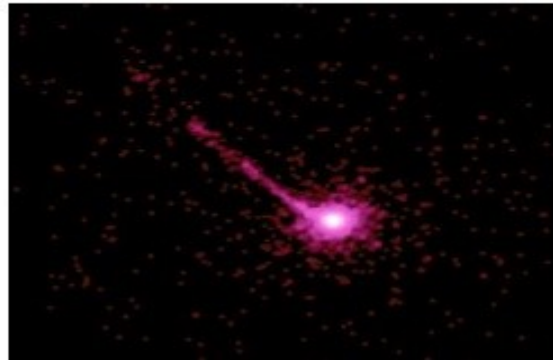
May provide initial seed for the **formation of observed SMBHs** in active galaxies such as M87 (without the need of unrealistic super – Eddington accretion rates)

# A paradigm shift in the formation and nature of the galactic centers?

- Normal Galaxies → NO Active Nuclei  
NOR Jets ( $M_c \sim 10^{6-7} M_\odot$ )



- Active Galaxies → YES Active Nuclei AND  
Jet emission ( $M_{BH} \sim 10^9-10^{10} M_\odot$ )



THANK YOU !

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The particle nature of the keV-ish fermions?

- Minimal extension of SM ( $\nu$ MSM) adding 3 right-handed STERILE ( $Q_{SM} = 0$ ) neutrinos T. Asaka, S. Blanchet, M. Shaposhnikov *PLB* (2005) 0503065

Three Generations of Matter (Fermions) spin 1/2

	I	II	III		
mass →	2.4 MeV	1.27 GeV	171.2 GeV	0	0
charge →	$2/3$	$2/3$	$2/3$	0	0
name →	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>g</b> gluon	
Quarks	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b><math>\gamma</math></b> photon	
	$0$ <b><math>\nu_e</math></b> <b><math>N_1</math></b> electron neutrino sterile neutrino	$0$ <b><math>\nu_\mu</math></b> <b><math>N_2</math></b> muon neutrino sterile neutrino	$0$ <b><math>\nu_\tau</math></b> <b><math>N_3</math></b> tau neutrino sterile neutrino	$91.2$ GeV <b><math>Z^0</math></b> weak force	$>116$ GeV <b>H</b> Higgs boson
Leptons	$-1$ <b>e</b> electron	$-1$ <b><math>\mu</math></b> muon	$-1$ <b><math>\tau</math></b> tau	$80.4$ GeV <b><math>W^\pm</math></b> weak force	spin 0

Bosons (Forces) spin 1

- Group-invariance in  $\nu$ MSM model:  $SU(3) \times SU(2) \times U(1)$  remains unchanged!

$$\mathcal{L} = \mathcal{L}_{SM} + i\nu_R \partial_\mu \gamma^\mu \nu_R - g \bar{L}_R \phi - M/2 \bar{\nu}_R^c \nu_R \quad (2)$$

- A Lagrangian extension including for self-interactions  $\mathcal{L}_I$  under self-gravity was analyzed C. Argüelles, N. Mavromatos, et al. *JCAP* (2016) 1502.00136

$$\mathcal{L} = \mathcal{L}_{GR} + \mathcal{L}_{\nu R} + \mathcal{L}_V - g_V V_\mu J^\mu$$

# Effects of self-interactions in particle physics (nuMSM) constraints

- The cross section constraints from colliding galaxy clusters [D. Harvey et al. Science \(2015\)](#)

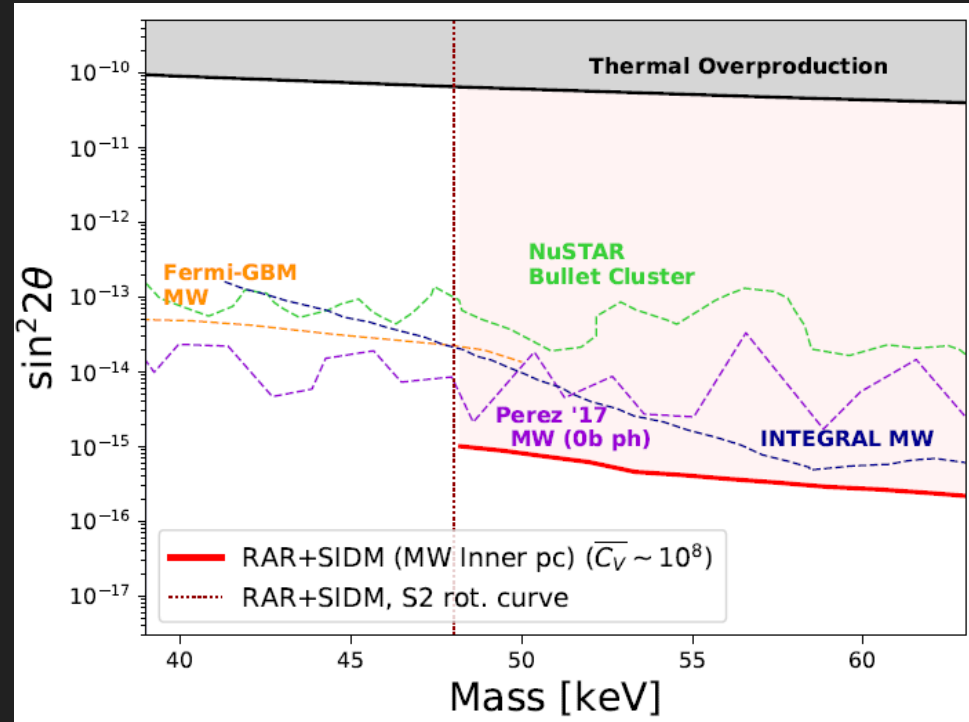
$$0.1 \leq \frac{\sigma_{\text{SIDM}}/m}{\text{cm}^2 \text{g}^{-1}} \leq 0.47$$

- Theoretical cross-section for the SI sterile neutrinos [Argüelles, et al. JCAP \(2016\)](#)

$$\sigma_{\text{core}}^{\text{tot}} \approx \frac{(g_V/m_V)^4}{4^3 \pi} 29 m^2$$



$$\bar{C}_V \equiv \left( \frac{g_V}{m_V} \right)^2 G_F^{-1} \in (2.6 \times 10^8, 7 \times 10^8),$$



NuMSM parameter-space is relaxed by an **additional production channel of s-neutrinos** via the  $V\mu$  decay (lowering the bounds on interaction angle) [Yunis, Argüelles, Mavromatos, et al. PDU \(2020\)](#)