

Deep learning and Astrophysics

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Outline

- * Quasars
- * Deep Learning and Convolutional Neural Networks (CNN)
- * Data; SDSS data of astrophysical objects
- * How to download useful data
- * Data preprocessing
- * CNN architecture
- * Results



QUASAR ENGINES

The accretion of matter onto giant black holes in the centres of galaxies powers the extreme luminosities of quasars.

Particle jets produce
radio waves

Hot gas glows as it falls
onto the black hole

Dust torus

Supermassive
black hole

Quasar - A kind of Active Galactic Nuclei (AGN)

The term quasar is an abbreviation of the phrase "quasi-stellar radio source", as they appear to be star-like on the sky. In fact, quasars are the intensely powerful centres of distant, active galaxies, powered by a huge disc of particles surrounding a supermassive black hole.

Why we do this work?

1. Quasar is important: the most luminous non-transient source locating till redshift $z > 7$, itself is important and it can be applied as a tool to, for e.g.,

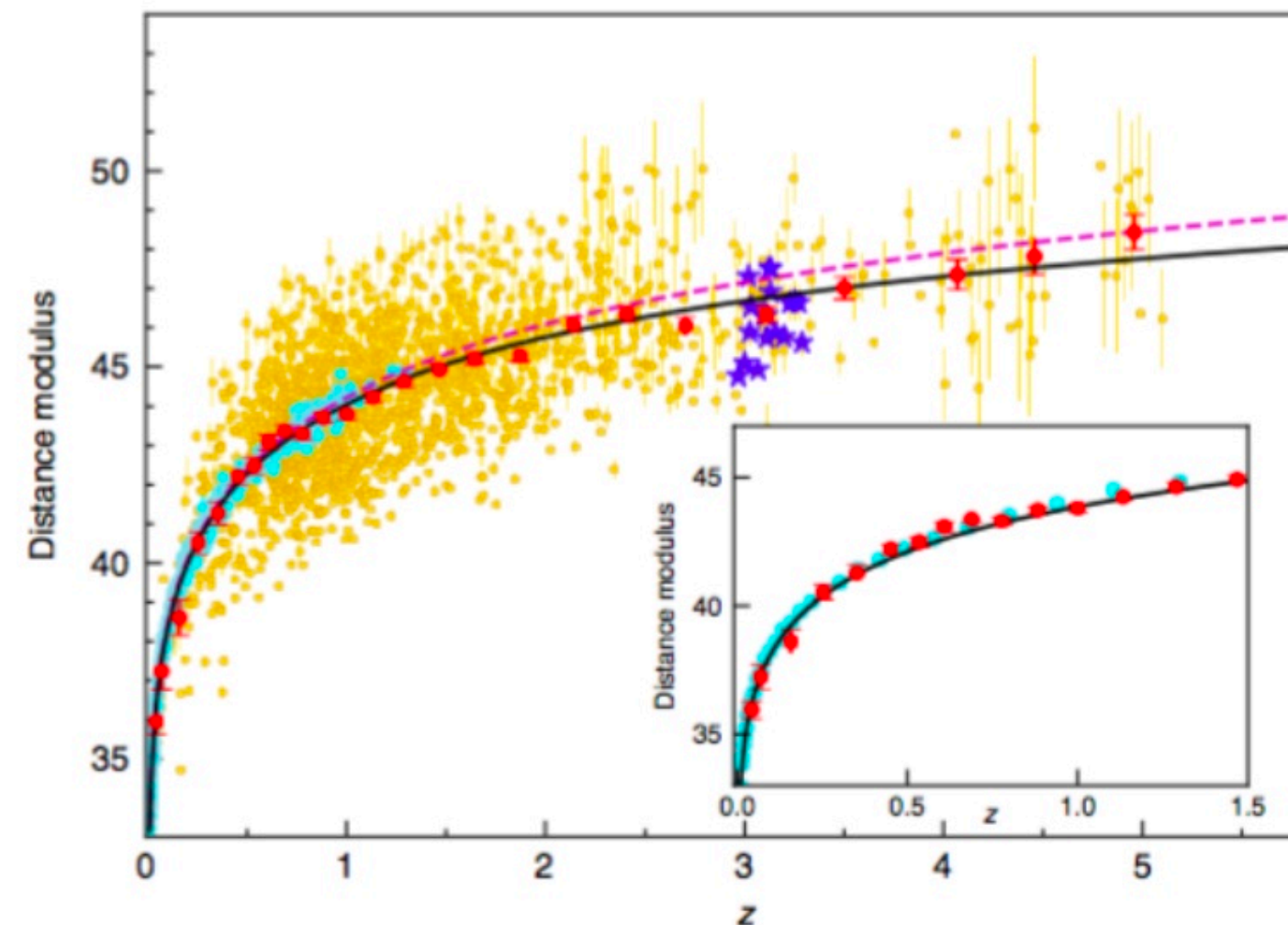
- Constrain the cosmological parameters
- Understand the formation of supermassive black hole
- Explore the formation of galaxies
- Trace the history of the reionization of early universe
- Infer the intergalactic medium properties
- ...

2. Quasar has enormous data: one of the best surveyed cosmological objects, the total number closes to one million. For comparison, supernova has $\sim 70,000$ samples and gamma-ray burst has ~ 2000 samples.

Measurements of the expansion rate of the Universe based on a Hubble diagram of Quasars

- Quasars are the most luminous persistent sources in the Universe, observed up to redshifts of $z \approx 7.5$
- The Λ CDM model is poorly tested in the redshift interval between the farthest observed type Ia supernovae and the cosmic microwave background.
- The distance modulus/redshift relation of quasars at $z < 1.4$ is in agreement with that of supernovae
- A deviation from the Λ CDM model emerges at higher redshifts

G. Risaliti and E. Lusso: [Nature Astronomy volume 3, pages 272–277 \(2019\)](#)

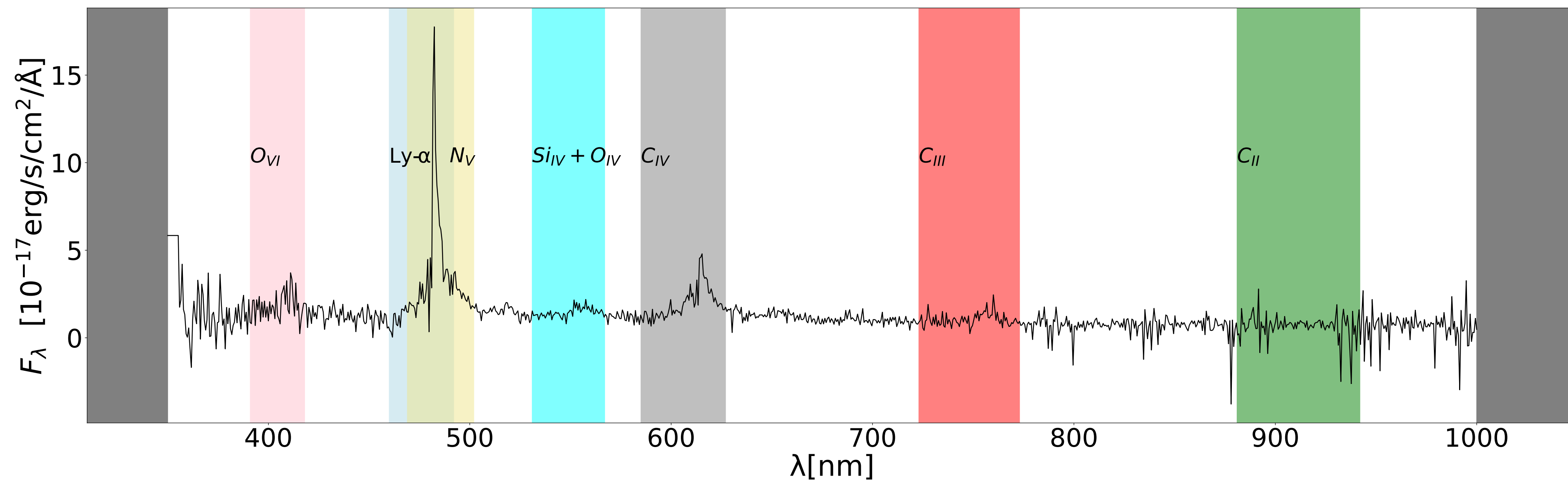


Quoted from Astro2020 Science White Paper:

"establishing large statistical sample of luminous quasars at $z = 7 - 9$, should be a high priority of high-redshift quasar community"

"Surveys of ... quasars and AGNs at high redshift will allow studies of the earlier phases of the BH accretion process. These early phases ... should be another high priority of high-redshift quasar research in the next decade."

—
Xiaohui Fan

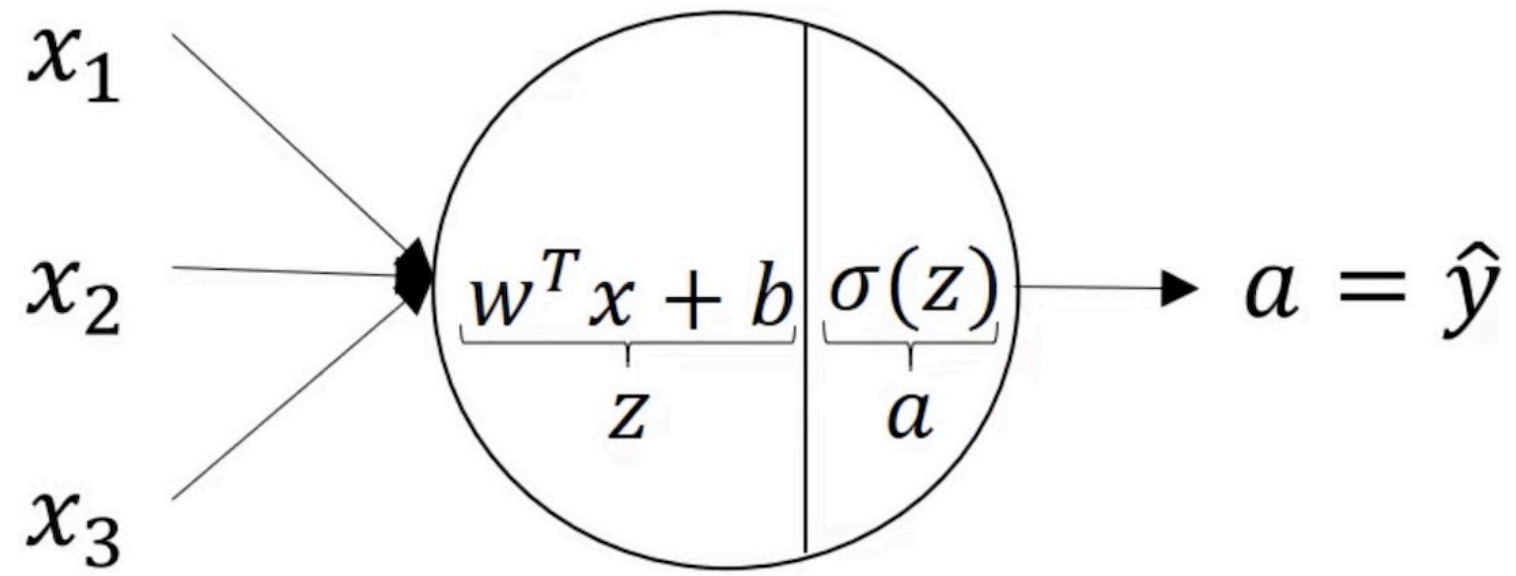


Training a net to measure the redshift of quasars, especially it shall be able to measure the high redshift.

Difficulty of traditional method: Lyman- α lines, which is widely used to determine the high redshift quasars, are shifted to near infrared if $z > 6.4$ and become undetectable. Hence, only 30% quasars at $z \sim 6.5$ and 10% quasars at $z > 7$ can be identified, and rare high redshift quasars have confirmed redshift, only 3 quasars with $z > 7$ till 2020.

Deep learning method: The aim of the net is to seek and recognize, by the optimization procedure, all available common characteristics and patterns in data, which helps in turn to solve unseen problems and to measure the redshift.

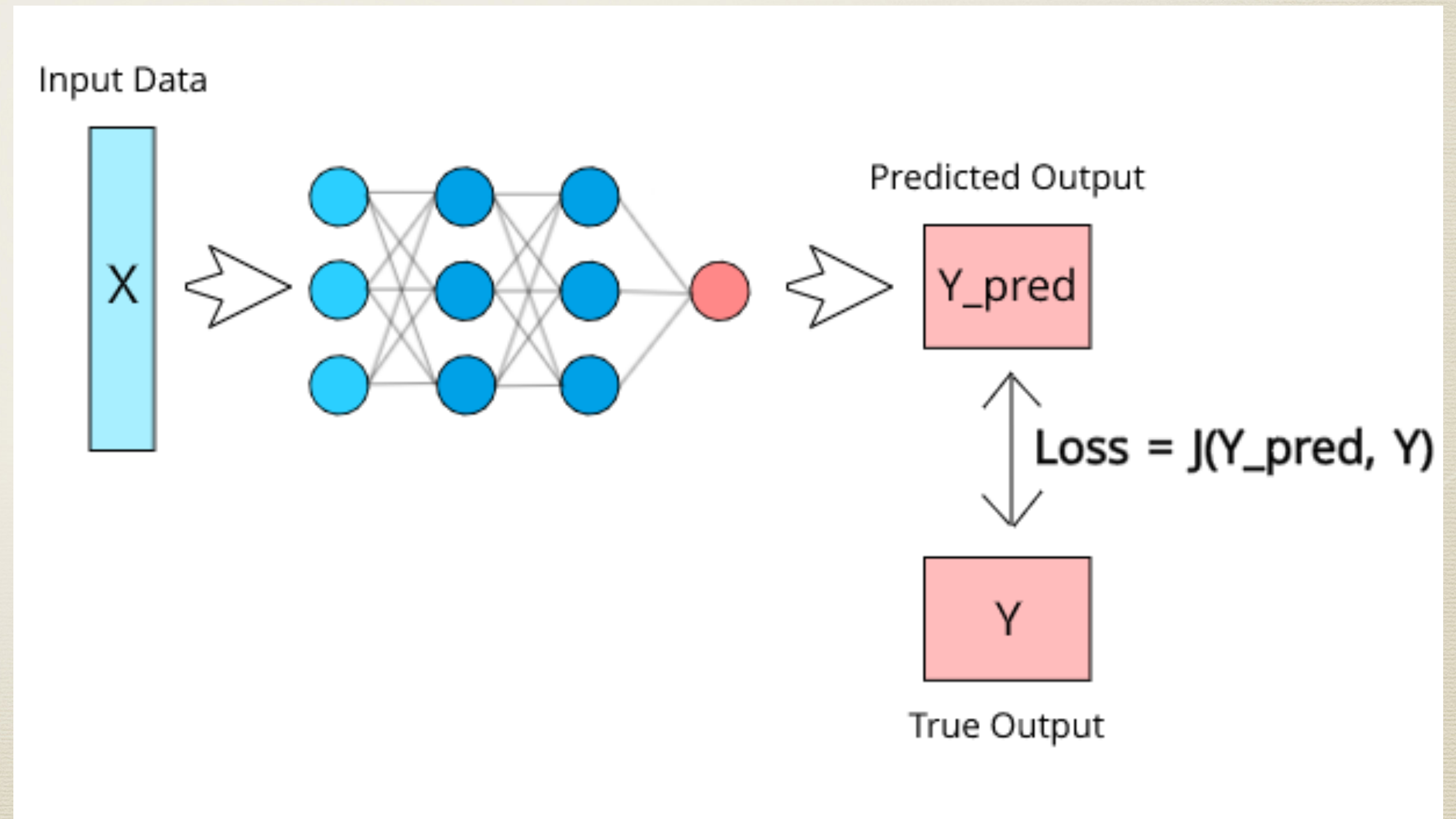
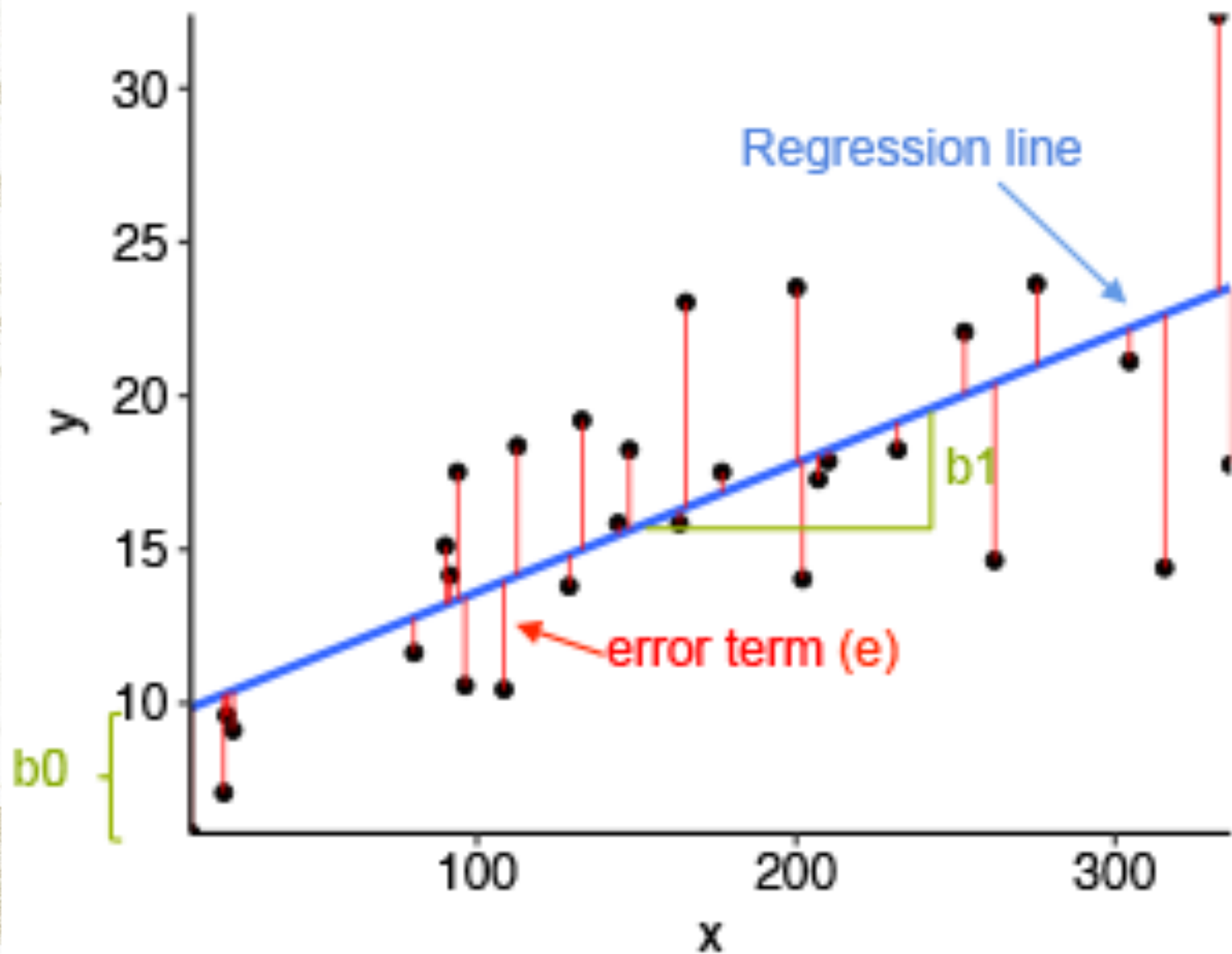
Basic Idea of Machine Learning



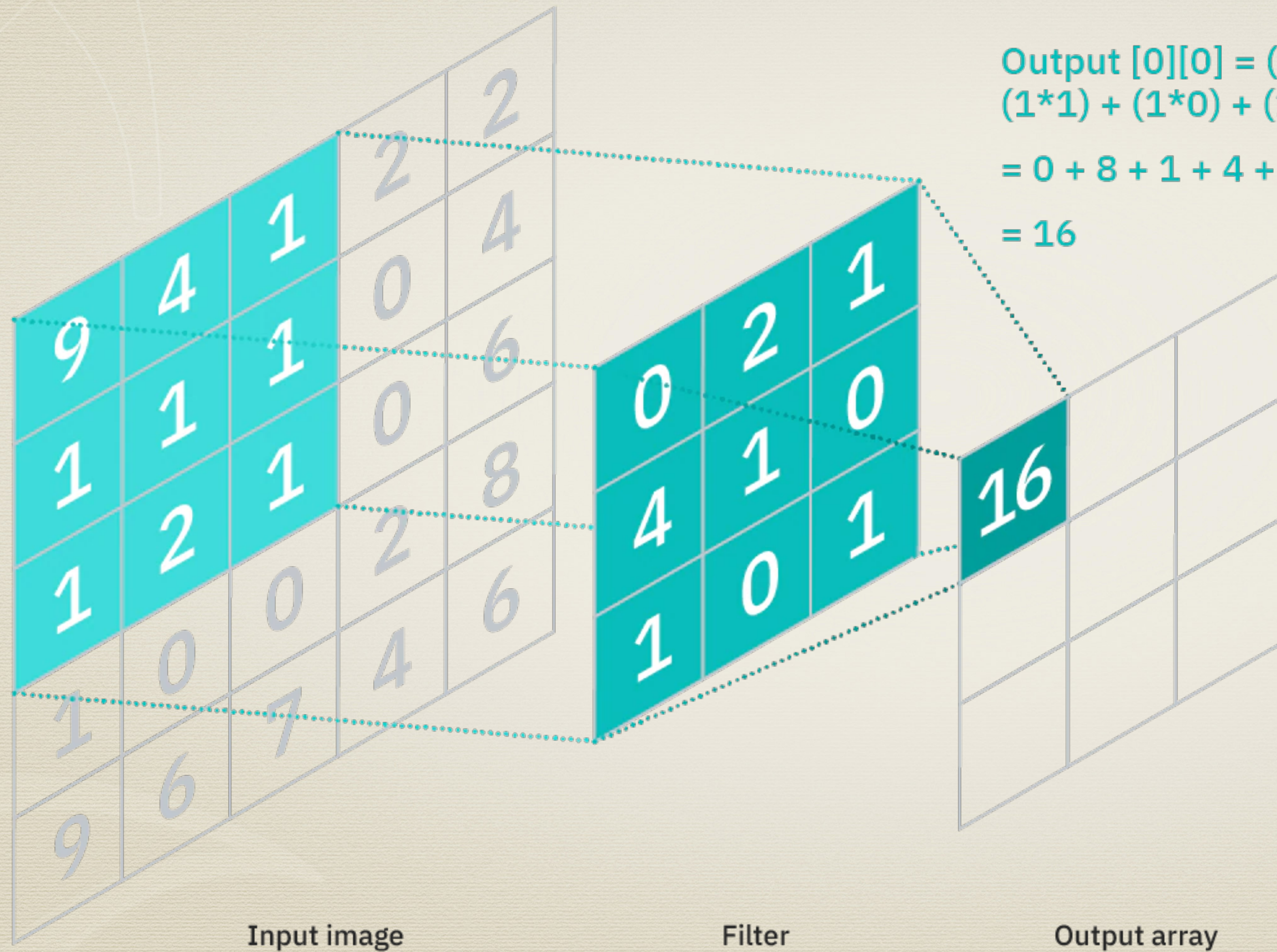
$$J = \frac{1}{2m} \sum_{i=1}^m (\hat{y}_i - y_i)^2$$

$$z = w^T x + b$$

$$a = \sigma(z)$$



Convolution?

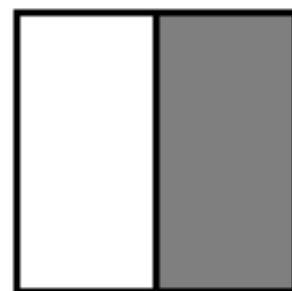


$$\begin{aligned} \text{Output [0][0]} &= (9*0) + (4*2) + (1*4) + \\ & (1*1) + (1*0) + (1*1) + (2*0) + (1*1) \\ &= 0 + 8 + 1 + 4 + 1 + 0 + 1 + 0 + 1 \\ &= 16 \end{aligned}$$

Edge Finder!

10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0

6 x 6



*

1	0	-1
1	0	-1
1	0	-1

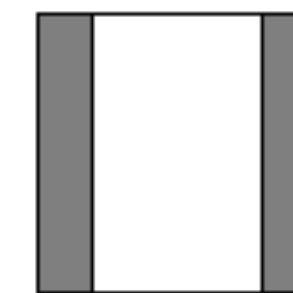
3 x 3

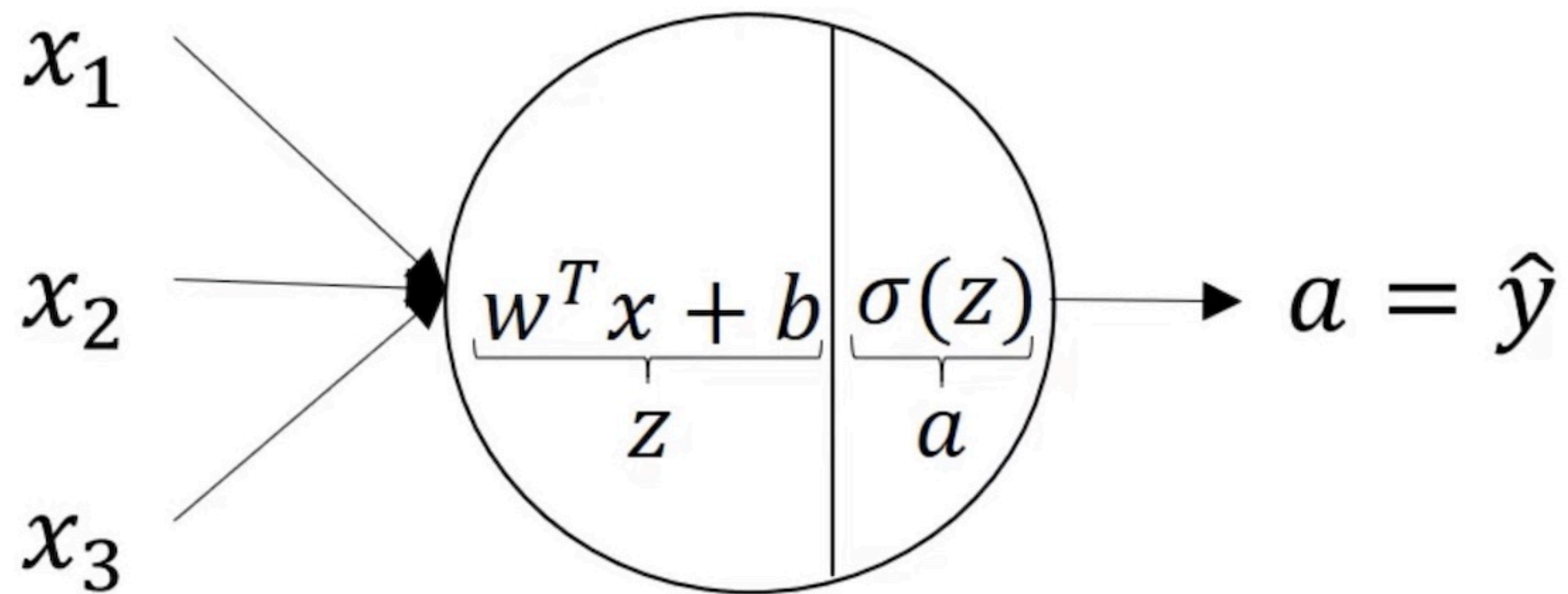


=

-0	30	30	0
0	30	30	0
0	30	30	0
0	30	30	0

4 x 4

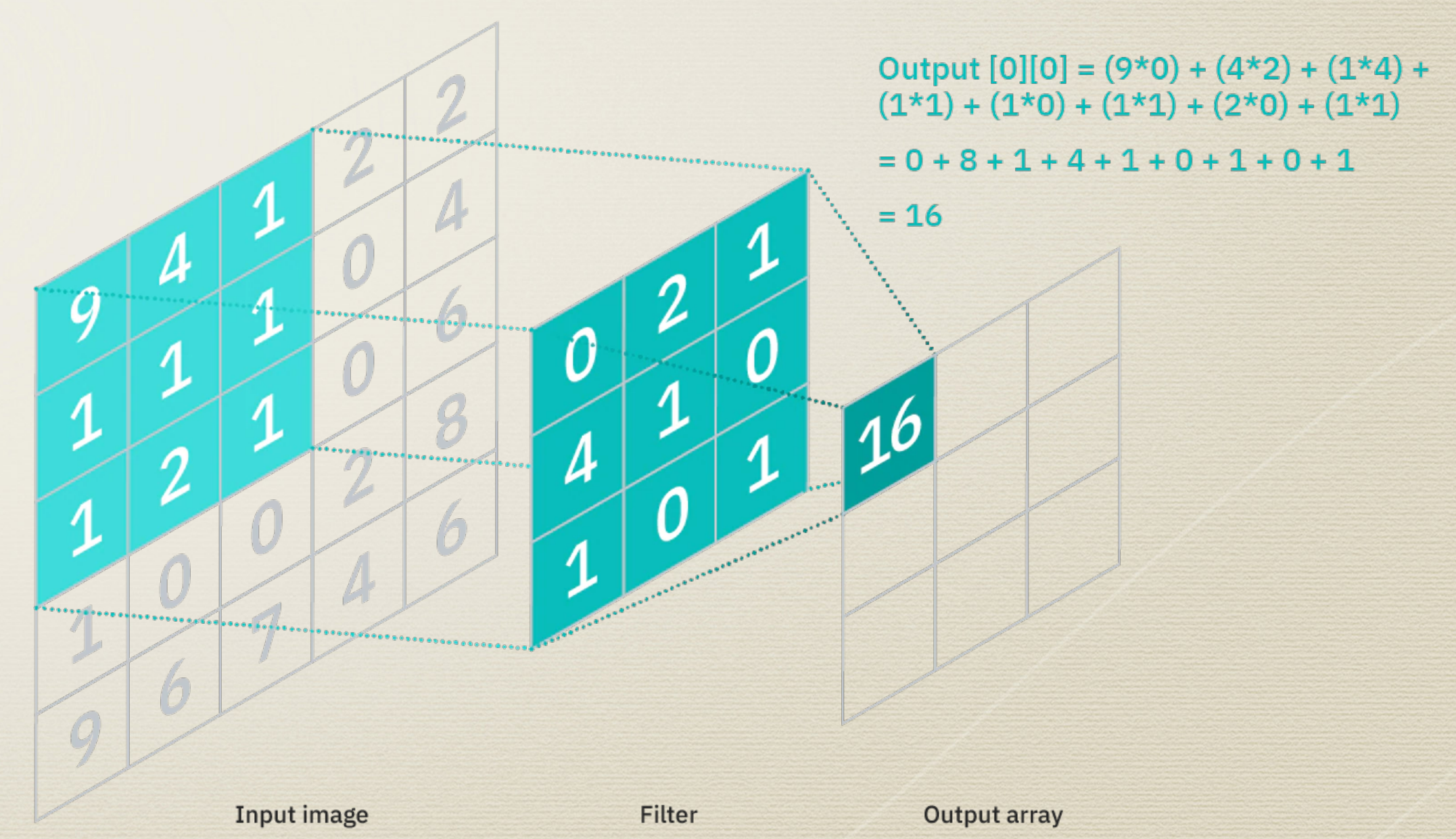


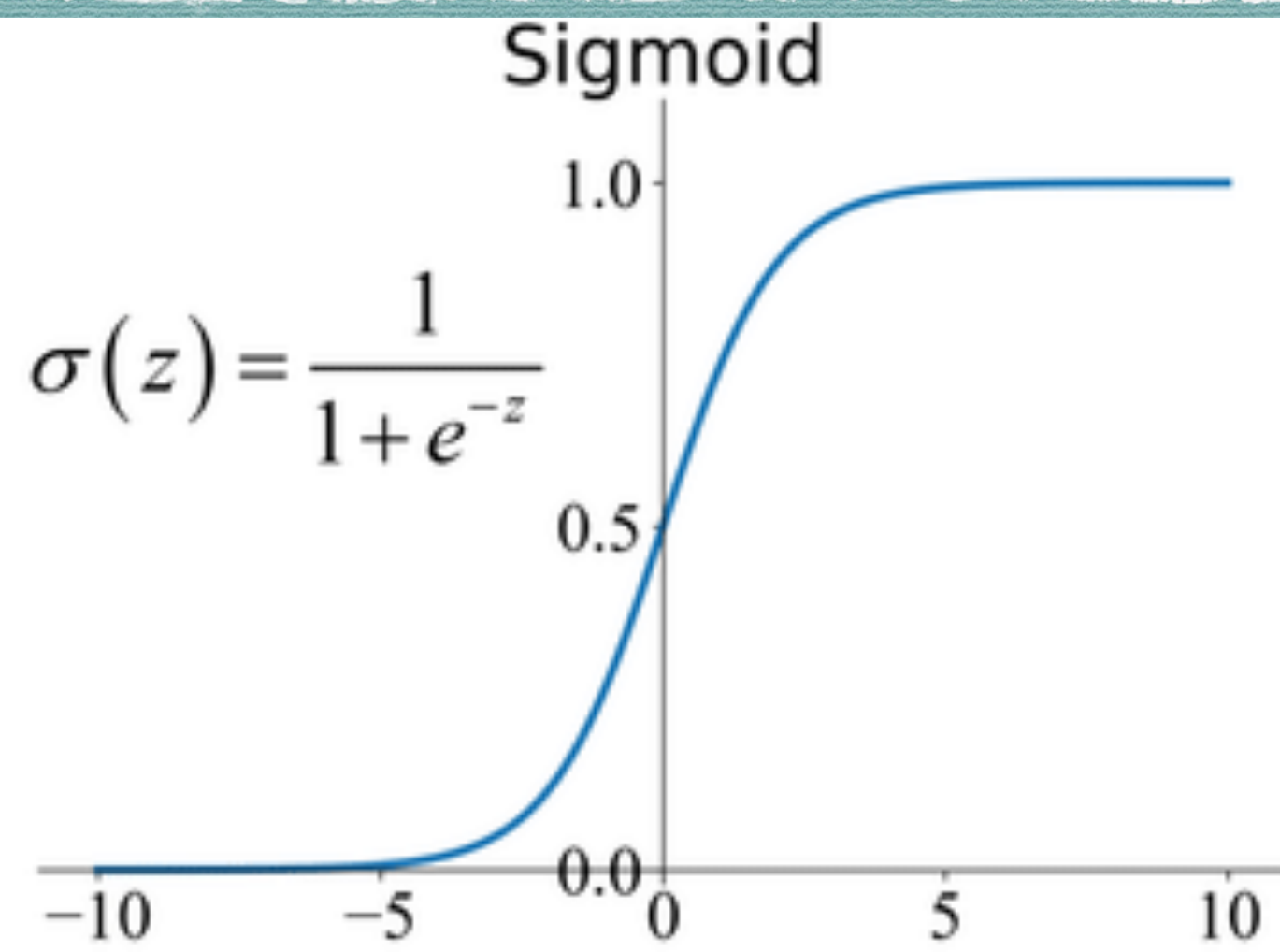


$$z = w^T x + b$$

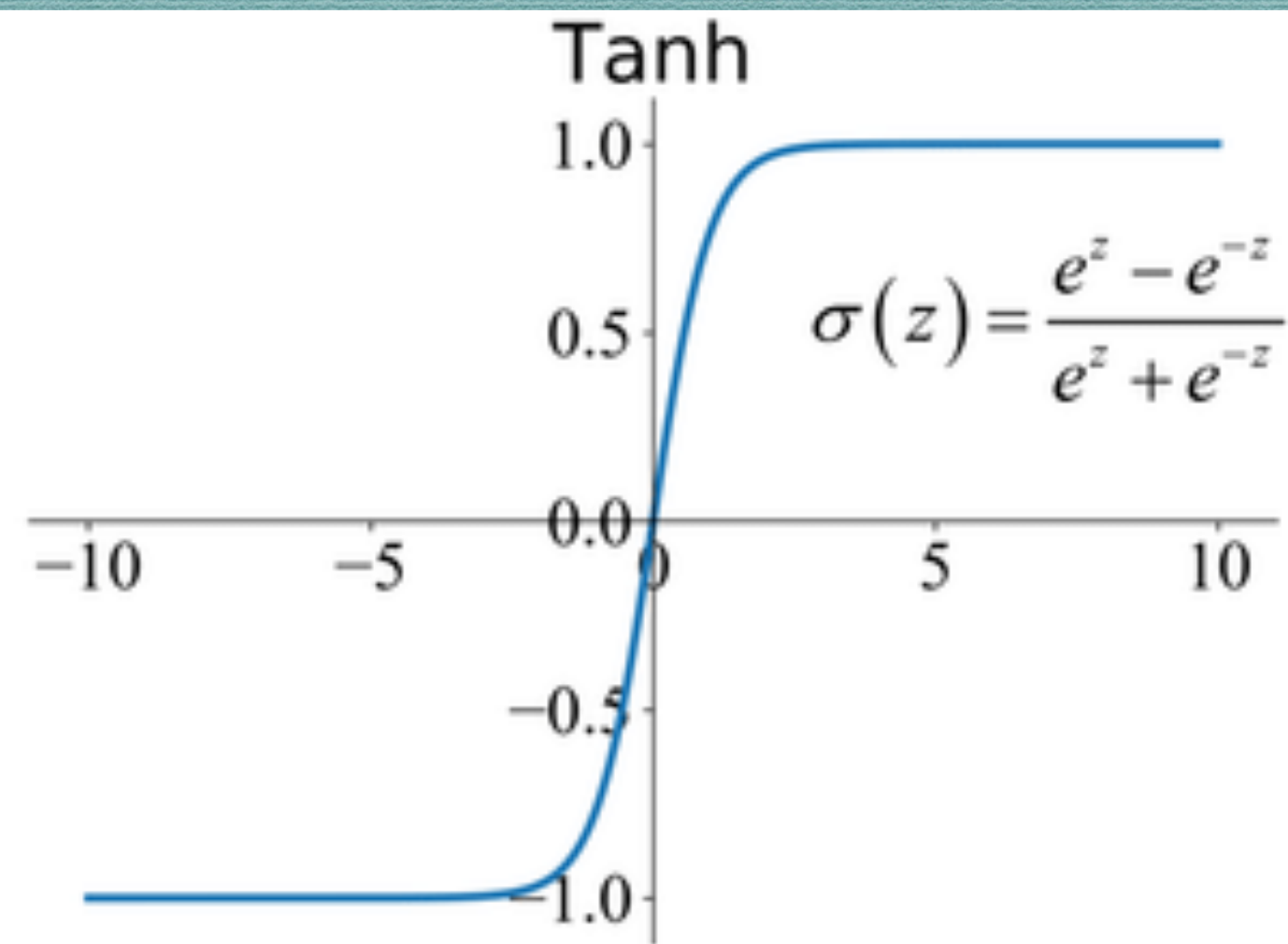
$$a = \sigma(z)$$

w_1	w_2	w_3
w_4	w_5	w_6
w_7	w_8	w_9

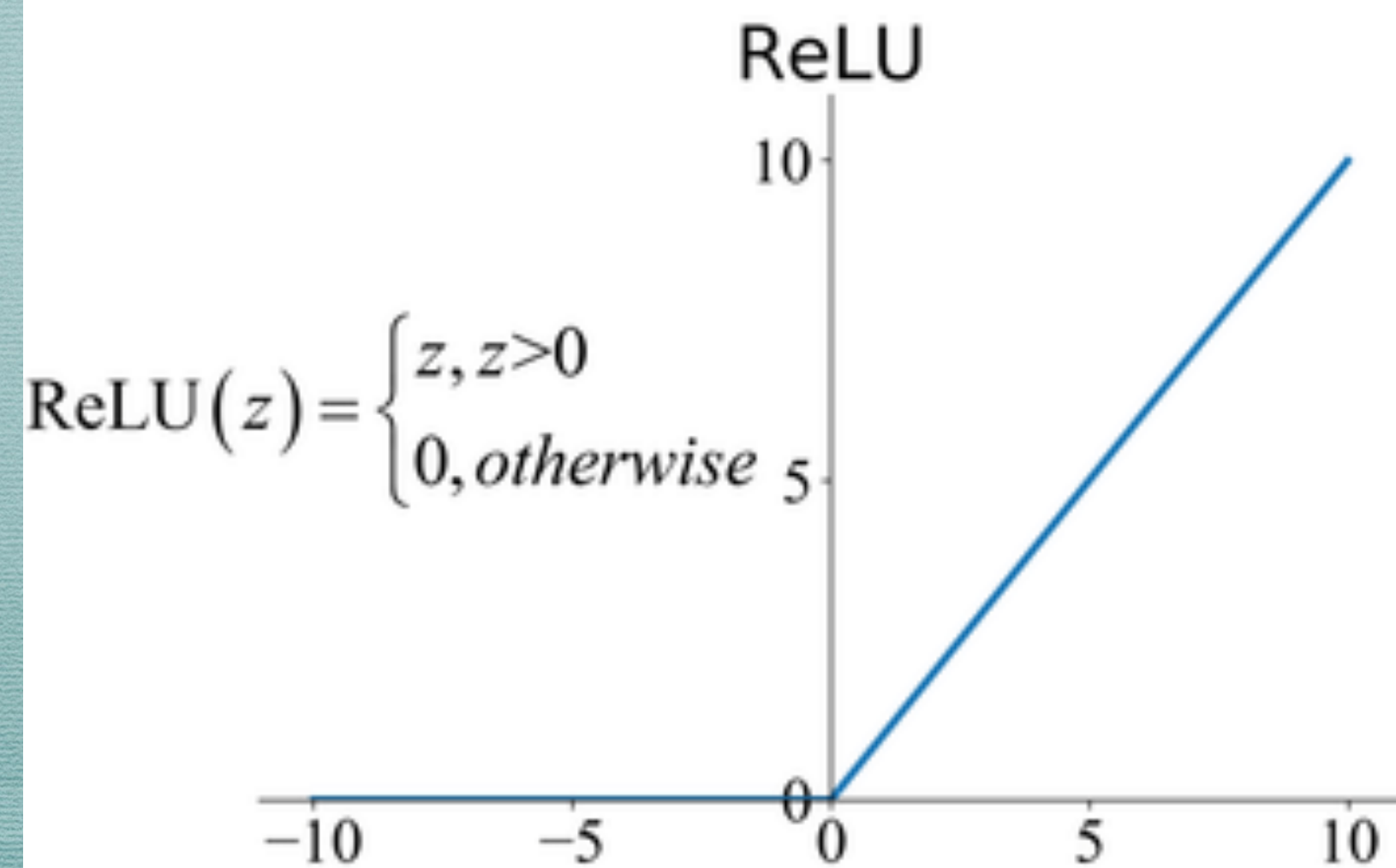




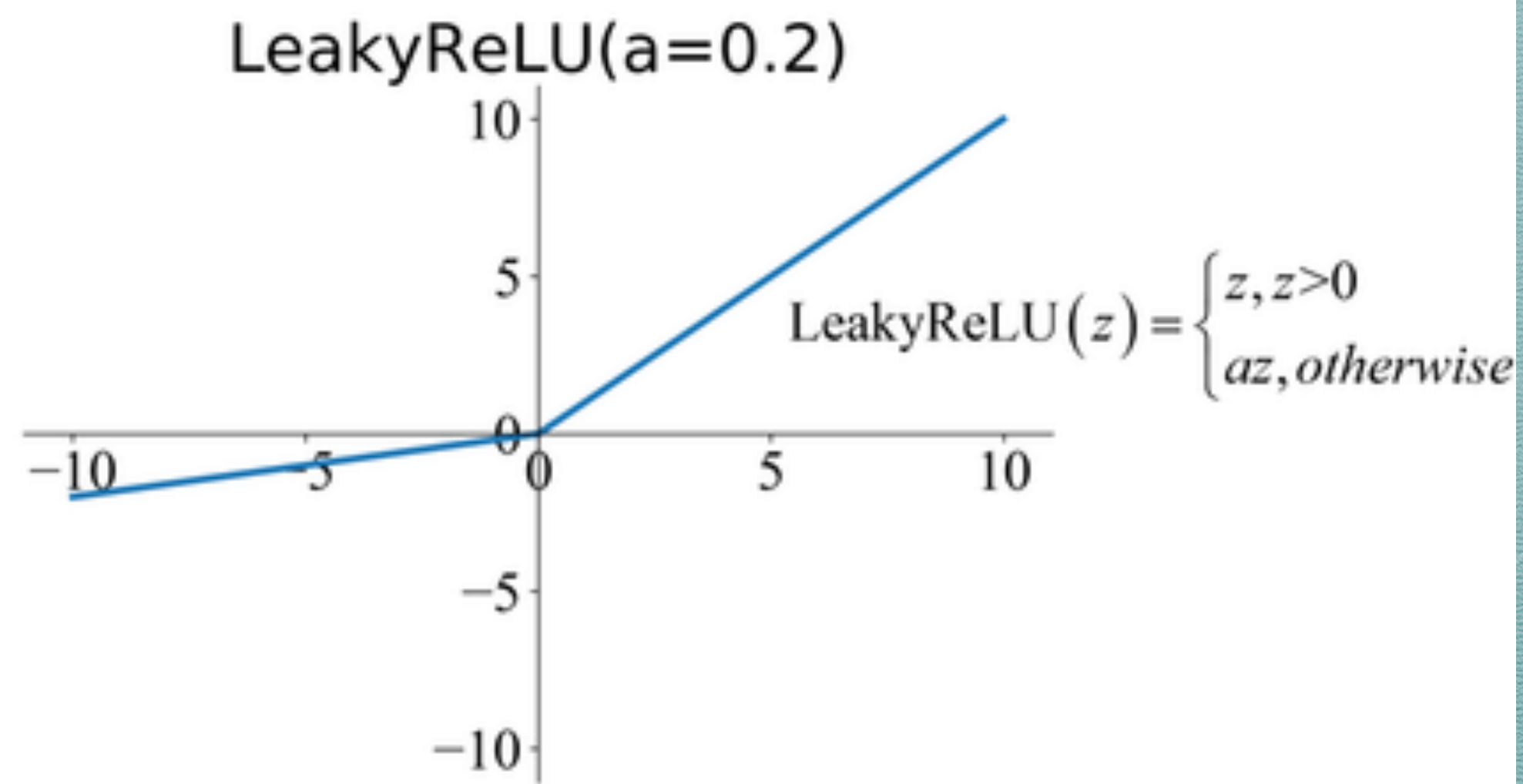
(a)



(b)



(c)



(d)

Activation Function

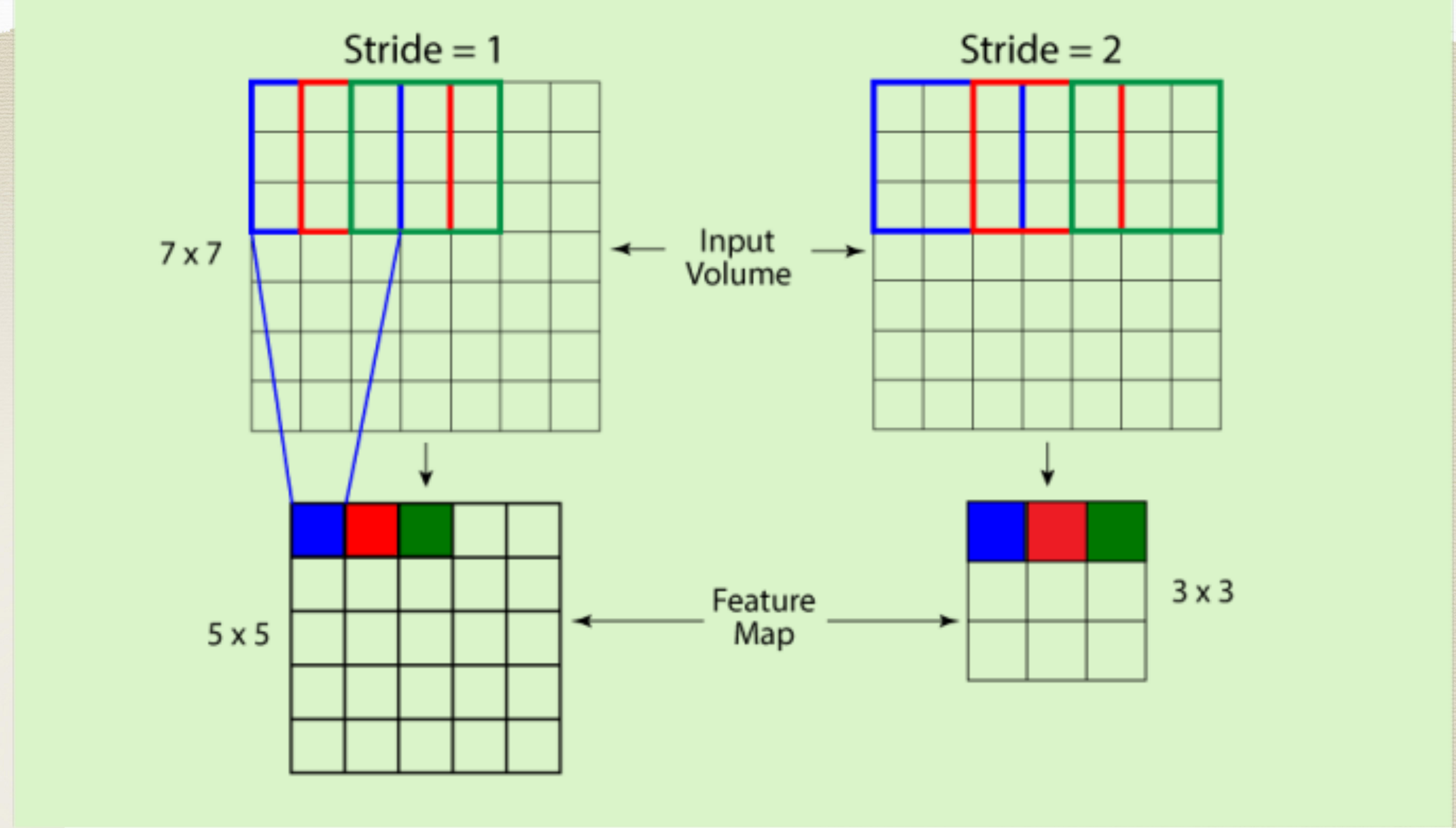
Padding

Information about borders

Image

0	0	0	0	0	0	0
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0						0
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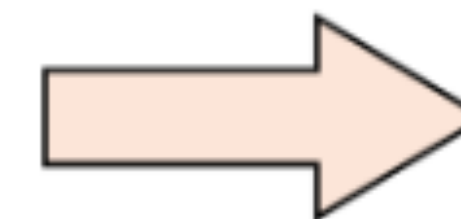
Strided Convolutions



How deep one wants to find the patterns

1	2	3	4	5	6	7
11	12	13	14	15	16	17
21	22	23	24	25	26	27
31	32	33	34	35	36	37
41	42	43	44	45	46	47
51	52	53	54	55	56	57
61	62	63	64	65	66	67
71	72	73	74	75	76	77

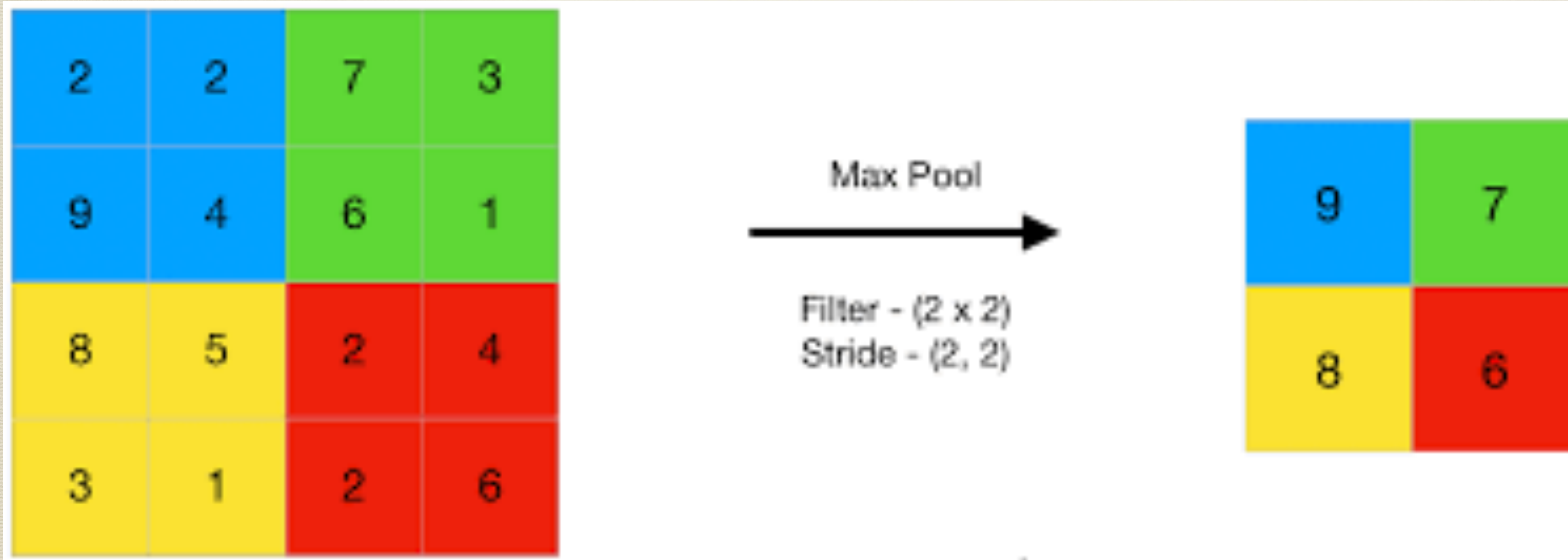
Convolve with 3x3 filters filled with ones



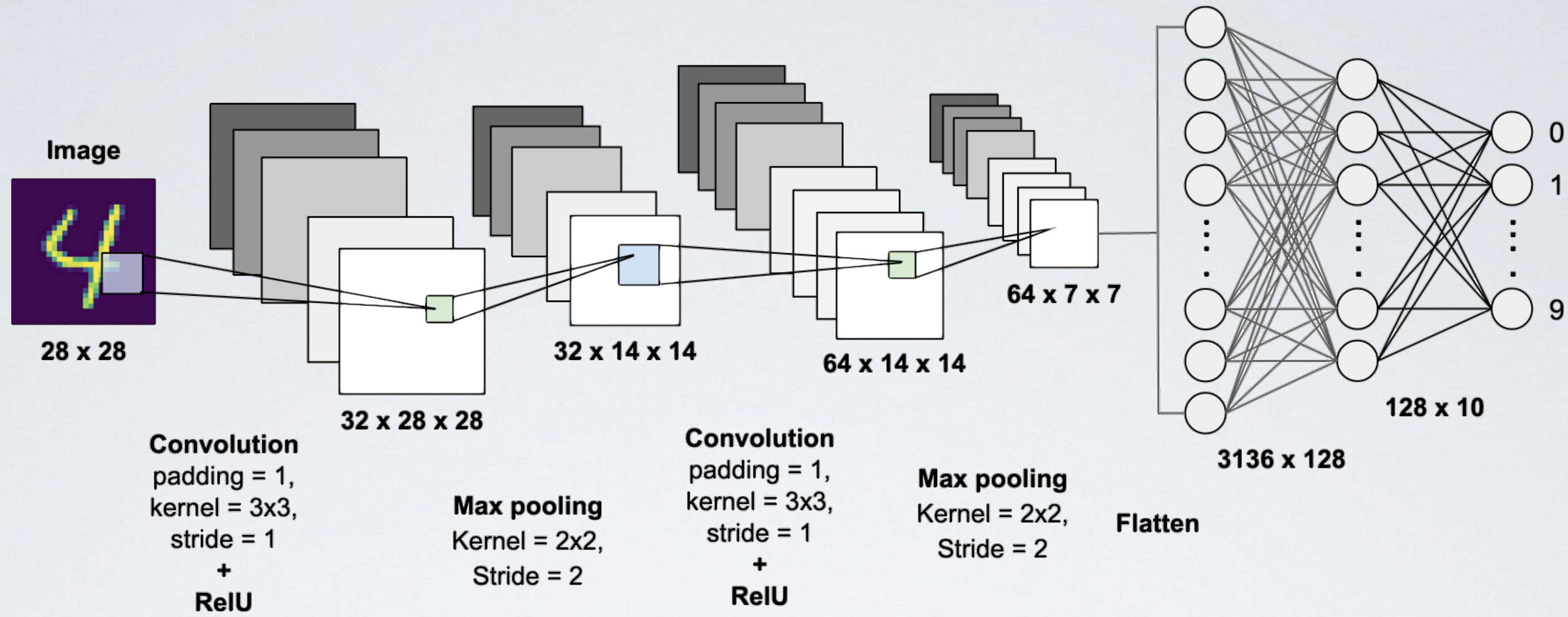
108	126	
288	306	

Pooling layers

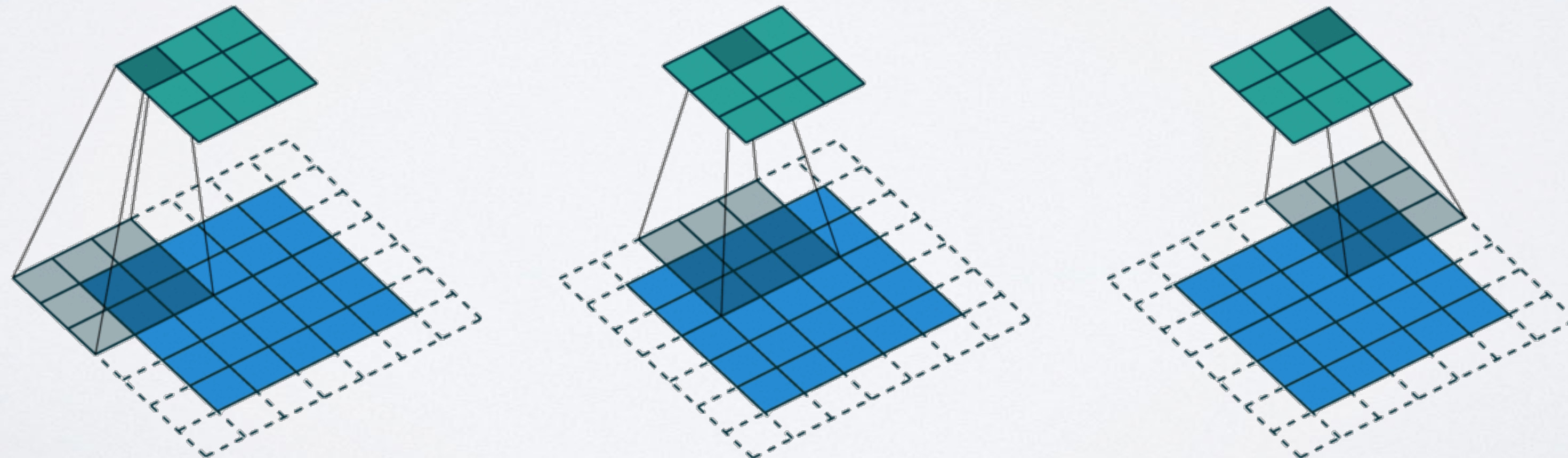
Max
Average

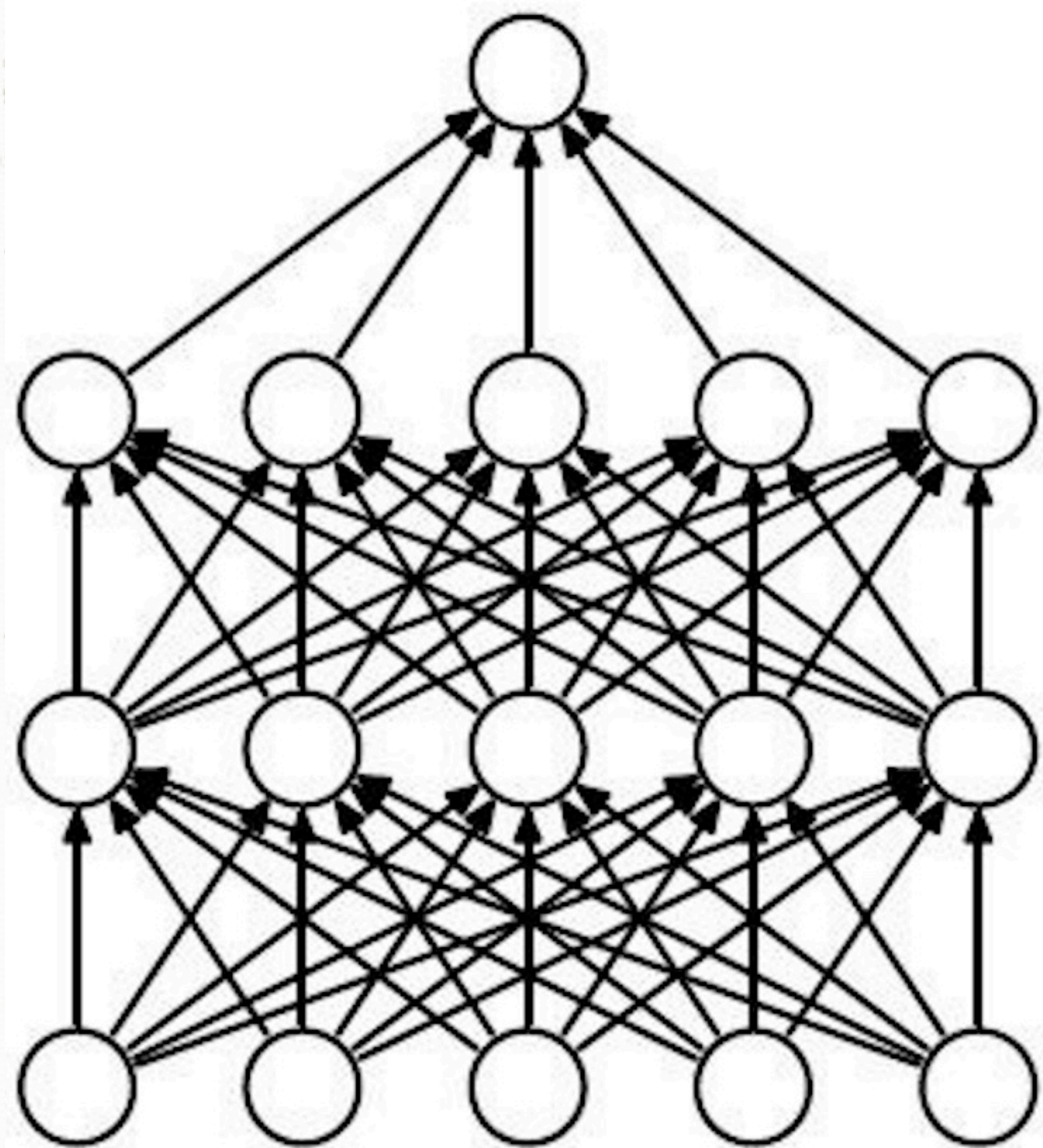


Convolutional Neural Network

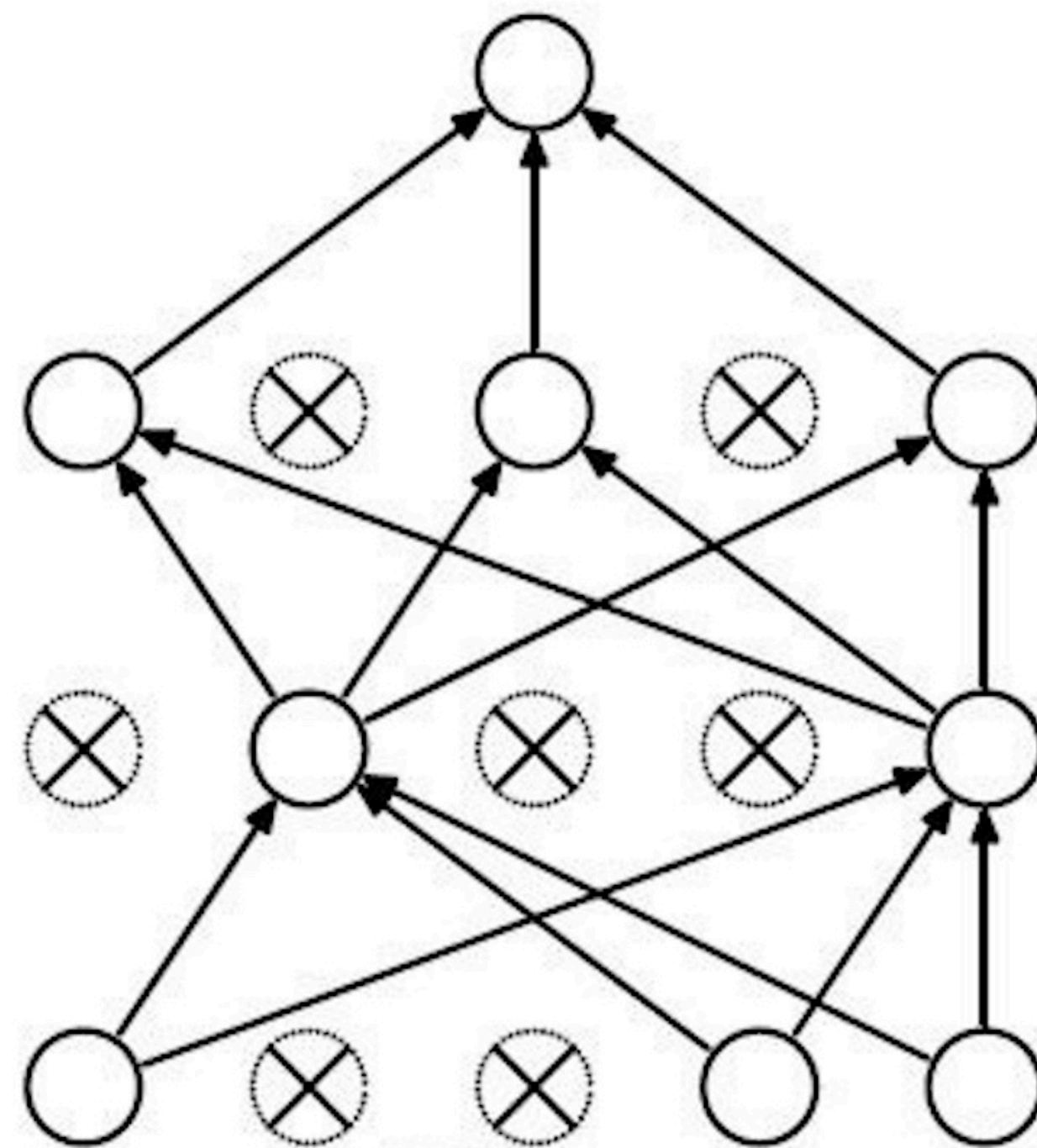


```
nn.Sequential( nn.Conv1d(1,32, 200), nn.ReLU(), nn.MaxPool1d(2, stride=2))
```



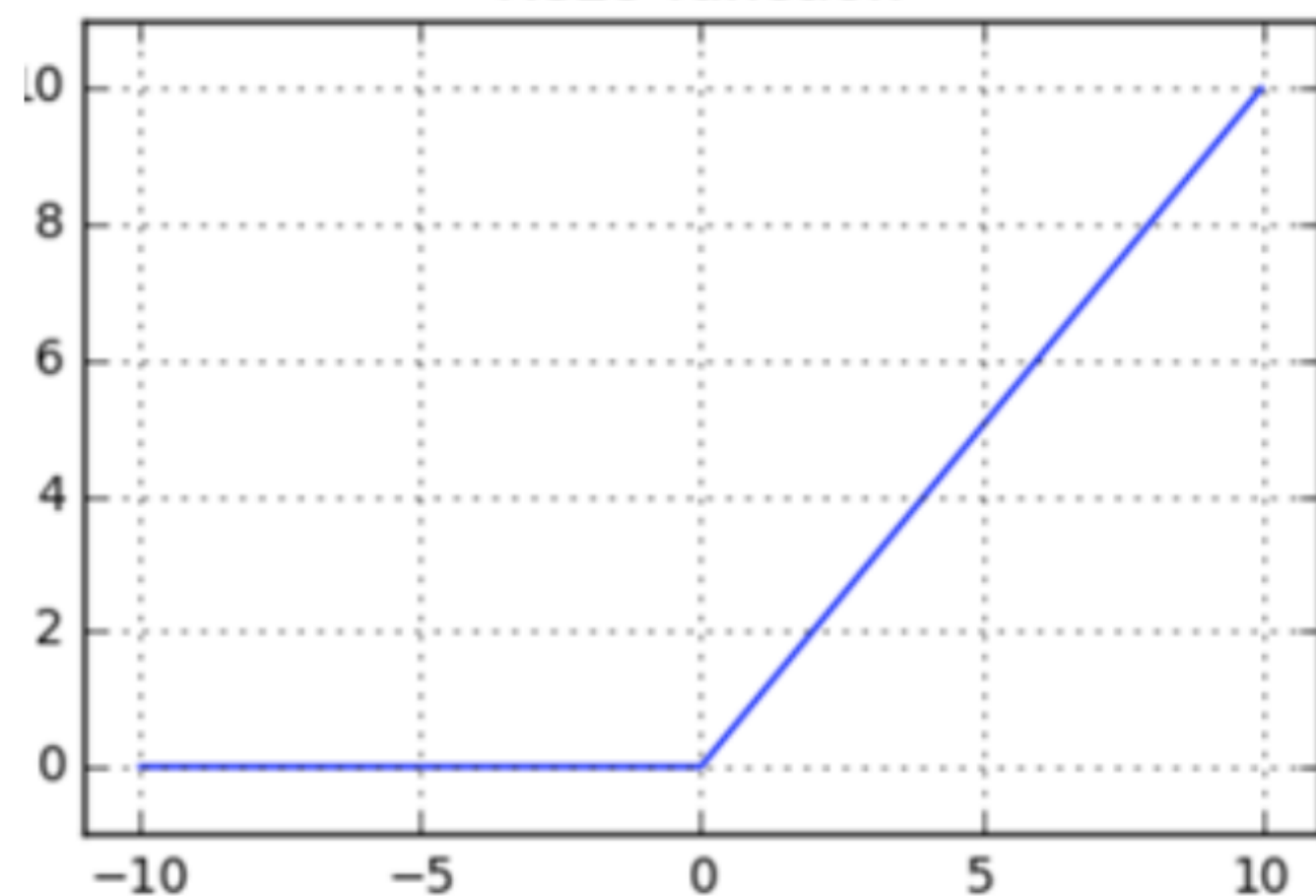


(a) Standard Neural Net



(b) After applying dropout.

ReLU function



```
class RNet(nn.Module):
    def __init__(self):
        super(RNet, self).__init__()
        self.layer1 = nn.Sequential(
            nn.Conv1d(1, 32, 200),
            nn.ReLU(),
            nn.MaxPool1d(2, stride=2))
        self.layer2 = nn.Sequential(
            nn.Conv1d(32, 16, 200),
            nn.ReLU(),
            nn.MaxPool1d(2, stride=2))
        self.layer3 = nn.Sequential(
            nn.Conv1d(16, 128, 20),
            nn.ReLU(),
            nn.MaxPool1d(2, stride=2))
        self.drop_out = nn.Dropout()
        self.fc1 = nn.Sequential(
            nn.Linear(8576, 900),
            nn.ReLU())
        self.fc2 = nn.Sequential(
            nn.Linear(900, 100),
            nn.ReLU())
        self.fc3 = nn.Linear(100, 1)

    def forward(self, x):
        x = x.unsqueeze(1)
        out = self.layer1(x)
        out = self.layer2(out)
        out = self.layer3(out)
        out = out.reshape(out.size(0), -1)
        out = self.drop_out(out)
        out = self.fc1(out)
        out = self.fc2(out)
        out = self.fc3(out)
        return out
```

The Sloan Digital Sky Survey or SDSS is a major multi-spectral imaging and spectroscopic redshift survey using a 2.5-m wide-angle optical telescope at Apache Point Observatory in New Mexico

eBOSS

Was designed to measure the expansion rate of the Universe.

Detect the characteristic scale imprinted by baryon acoustic oscillations in the early universe.



The most comprehensive observed quasar spectra to date is provided by the Sixteenth Data Release Quasar-only (DR16Q) of SDSS extended Baryon Oscillation Spectroscopic Survey (eBOSS).

This catalog contains 750,414 quasars in the automated redshift range $0 \leq z \leq 7.1$.

MEASURING REDSHIFT OF QUASARS

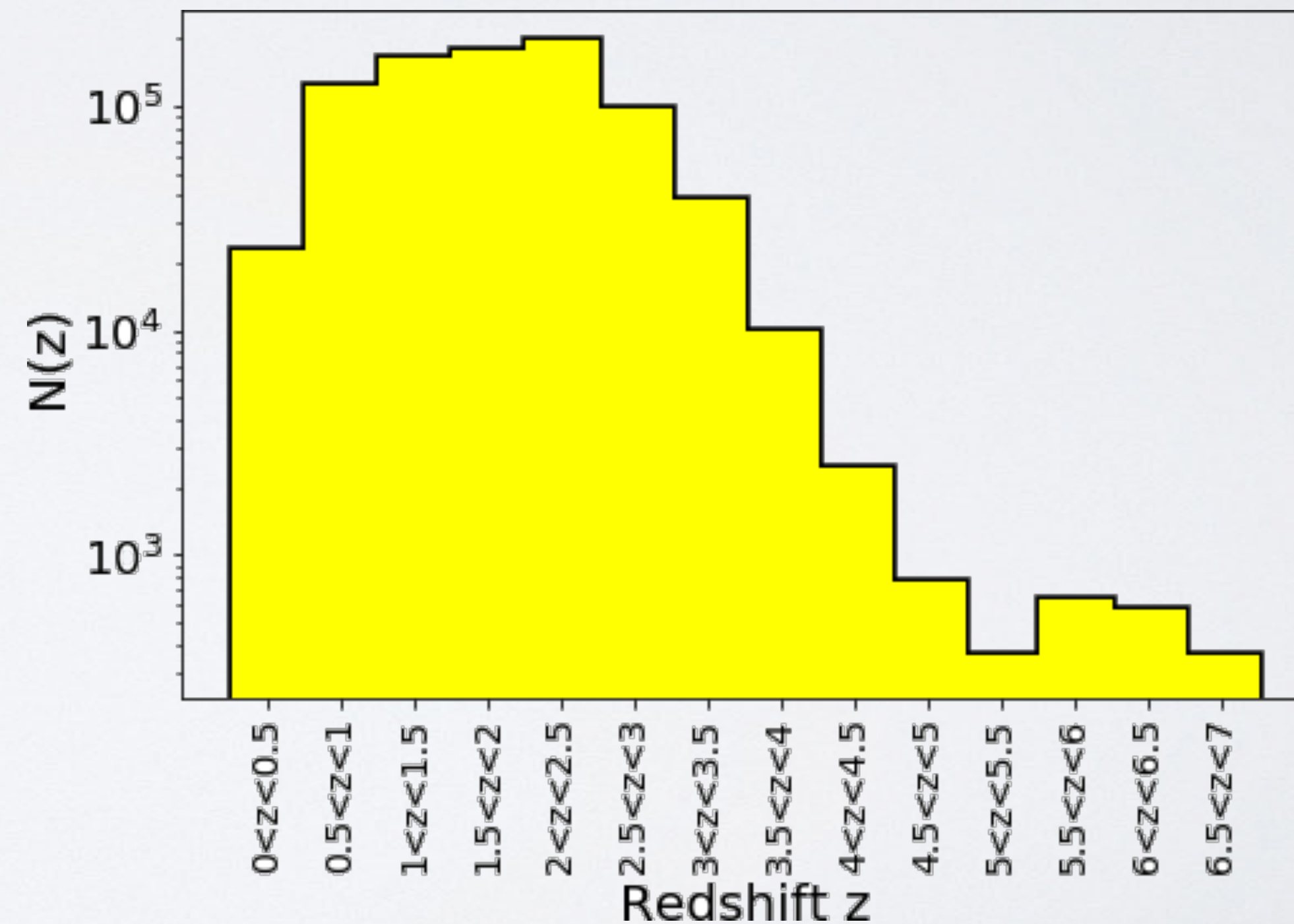
Sample - Feature & Label

Statistics: Dataset from Sixteenth Data Release (DR16) of SDSS extended Baryon Oscillation Spectroscopic Survey (eBOSS). More than 700,000 quasar spectra have been detected in the redshift range $0 < z < 7.1$.

We consider the selection of quasars in range 2.8-3, which contains 11227 spectra.

Feature: eBOSS spectrograph covers the wavelength range from 361nm to 1014nm with the resolution $R = 2000$. We take the spectrum as one dimensional image of 2000 pixels (flux).

Label: The identified redshift by traditional method.



Convolutional Neural Network (CNN) has been widely adopted to recognize patterns from an image. Therefore, here, 1-dimensional CNN is selected to learn higher-order features from the input flux via convolutional layers then to make a prediction on redshift as a output layer.

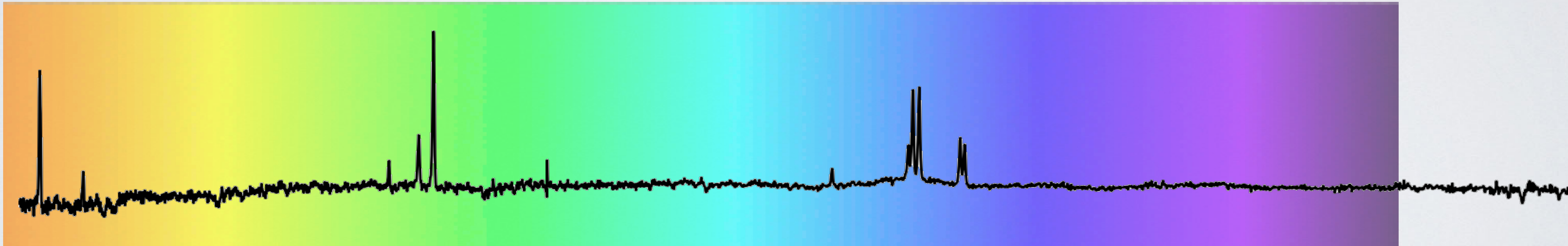
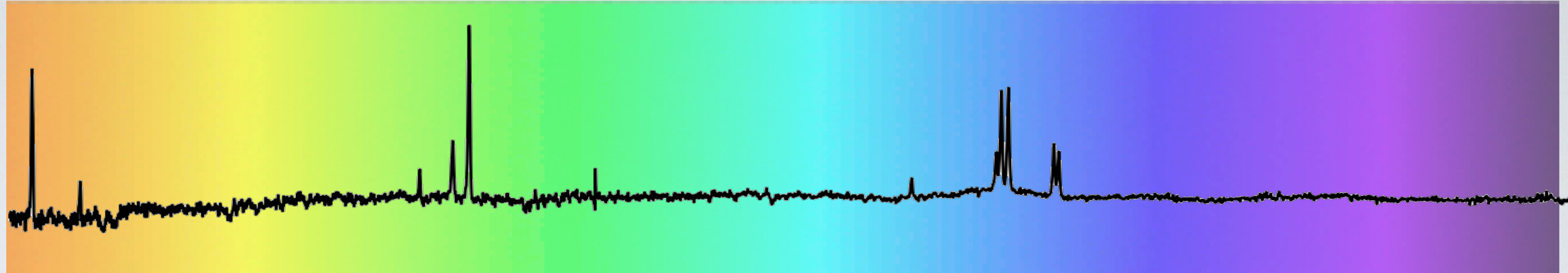
Thoughts: To design a purposive network, we follow the thought that, based on the spectrum, redshift can be estimated by the combination of three patterns:

1. The shift of the emission and absorption lines at different redshifts.
2. Some specific signals may appear at given redshfits.
3. Some features which we cannot understand!

Design: In the convolutional part, we specially construct a large size filter of 200 pixels covering ~ 20% of the data to capture the global shift of the spectrum, and in series a small size filter of 20 pixels to capture the minor shift and those specific signals.

MEASURING REDSHIFT OF QUASARS

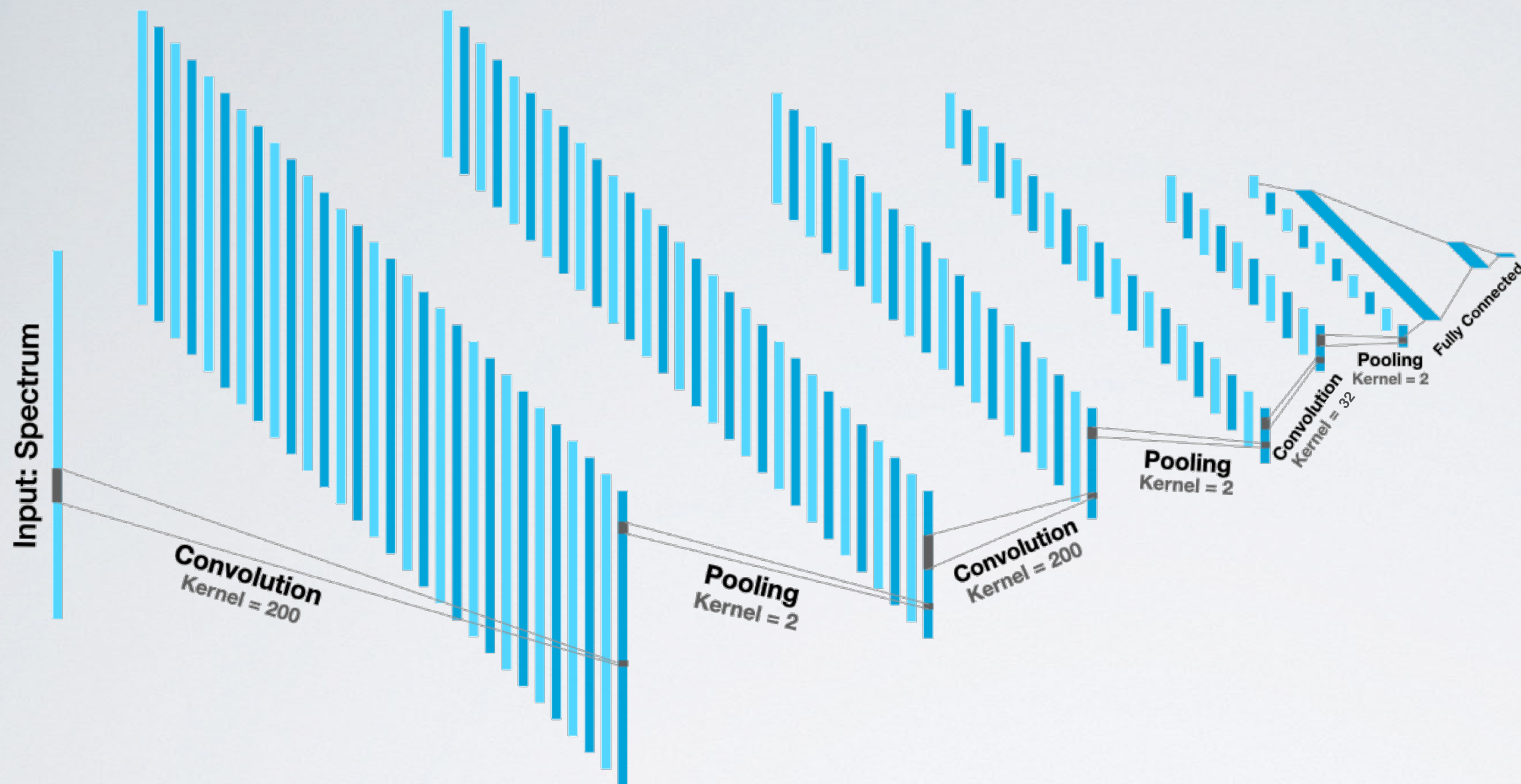
Redshift Caused by Universe Expansion



Observation on Earth

MEASURING REDSHIFT OF QUASARS

One Dimensional Convolutional Neural Network



Structure of one dimensional CNN. The spectrum of quasar is input as a one-dimensional array, which goes through the convolutional layer of kernel size = 200, 200, 20 respectively in order to search for the global and local pattern. The fully connected layers output the redshift.

YU WANG

```
class RNet(nn.Module):
    def __init__(self):
        super(RNet, self).__init__()
        self.layer1 = nn.Sequential(
            nn.Conv1d(1, 32, 200),
            nn.ReLU(),
            nn.MaxPool1d(2, stride=2))
        self.layer2 = nn.Sequential(
            nn.Conv1d(32, 16, 200),
            nn.ReLU(),
            nn.MaxPool1d(2, stride=2))
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        out = self.layer2(out)
        out = self.layer3(out)
        out = out.reshape(out.size(0), -1)
        out = self.drop_out(out)
        out = self.fc1(out)
        out = self.fc2(out)
        out = self.fc3(out)
        return out
```


Automated redshift estimates

- Z_{VI} , that is the redshift of visually inspected estimation the most accurate one, but it is extremely time consuming process.
- Z_{PIPE} , The SDSS pipeline redshift estimate, is the result of a principal component analysis performed on a sample of visually-inspected quasars.
- Z_{PCA} , is also the result of a principal component analysis but, unlike Z_{PIPE} , the reference sample has been carefully chosen to have an automated redshift corresponding to the location of the maximum of the Mg ii emission line.

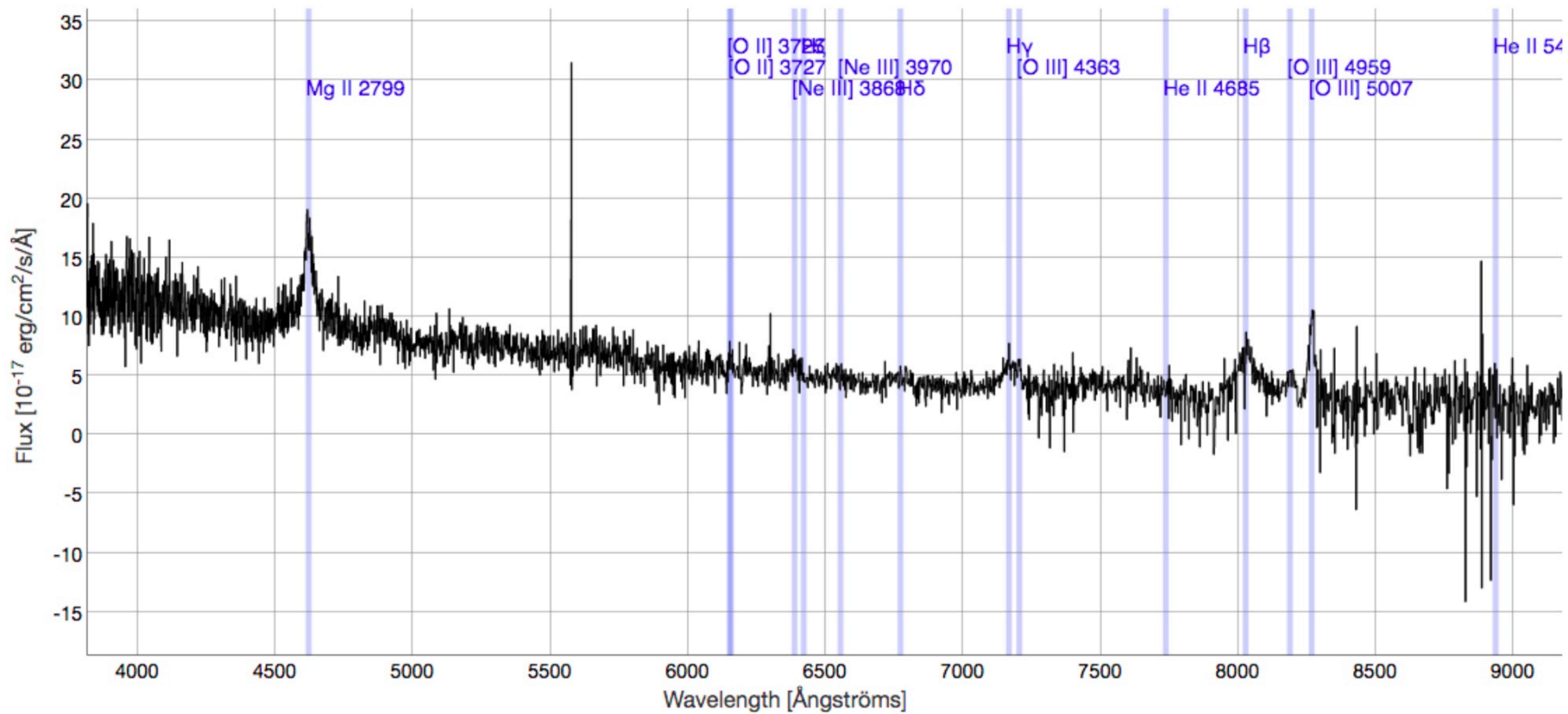


Figure: Quasar spectrum at $z=0.65$

QuasarNET

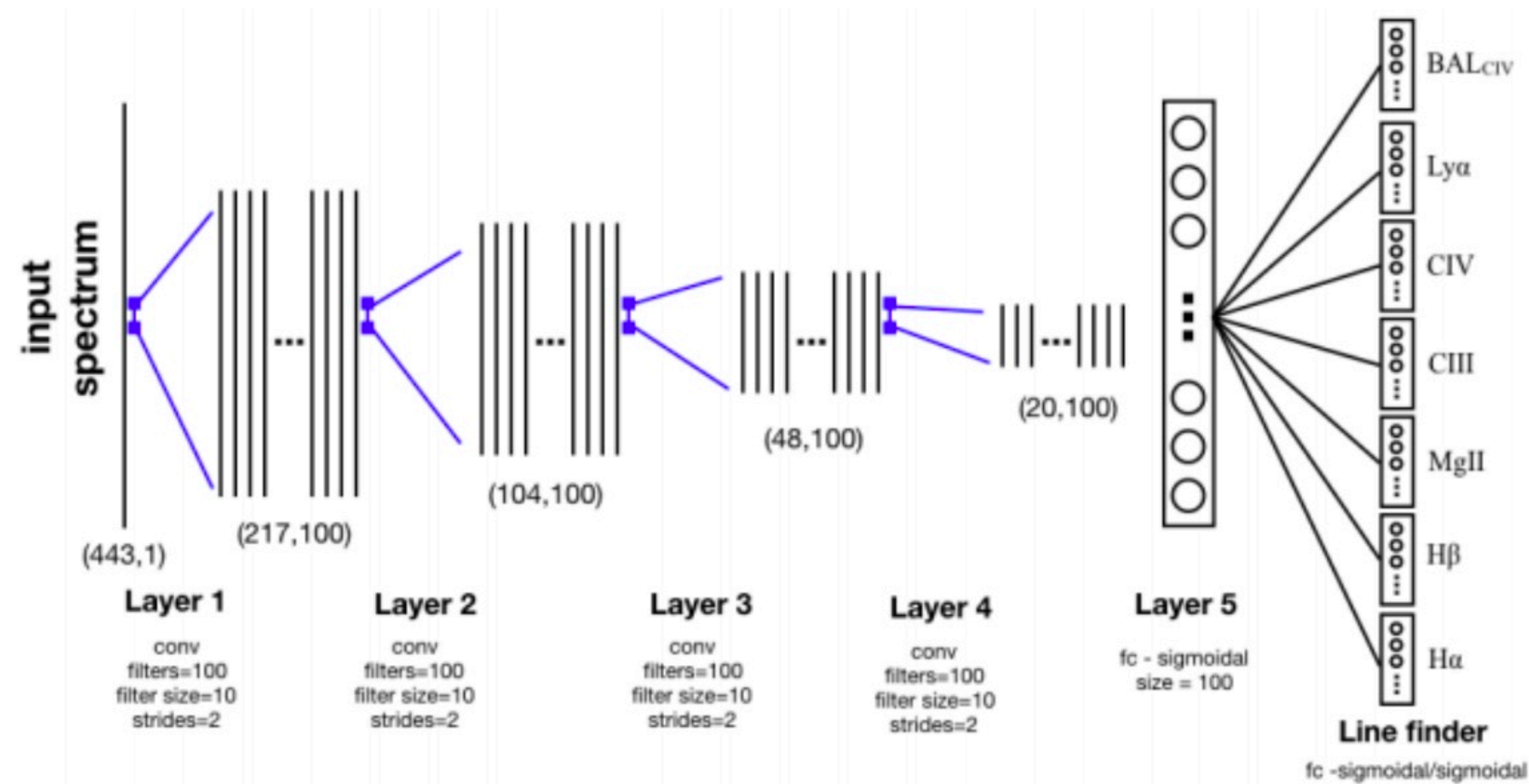


Figure: QuasarNET architecture

- The QuasarNET exploits a CNN to find local characteristics of spectra,
- it trains a network by DR12Q which contains confirmed quasars via visual inspection of the spectra,

QuasarNET

- more than 250000 samples to train
- it detects at least two emission line from seven emission lines in the quasar's spectra: Ly α (121.6 nm), CIV (154.9 nm), CIII (190.9 nm), MgII (279.6 nm), H β (486.2 nm) and H α (656.3 nm) as well as a CIV line with a broad absorption feature.
- the accuracy of this network in predicting redshift in the range of $0 < z < 5.45$ is 99.8% for $|\Delta v| < 6000$ km/s.

Optical data download

- * <https://dr16.sdss.org>
- * Click on: 'Optical Spectra'
- * Optical Spectra >> 'Spectrum search'
- * Click on 'BOSS'+ 'QSO'
- * Define a range for redshift: here I choose 2.8-3
- * Click on 'Search!'
- * Click on Spectra (wget)

Home Data Portals EPO Data Interaction

Sloan Digital Sky Survey

Mapping the Universe since 1998

Data Interaction

- Imaging
- Optical Spectra
- Infrared Spectra

SAS Login

SAS for experts About Data Access

Home Imaging Optical Spectra Infrared Spectra MaStar Spectra DR16

Plate Search Spectrum Search Spectrum View Data Access API

Optical Plate Search

Q Matched 6826 rows for this search

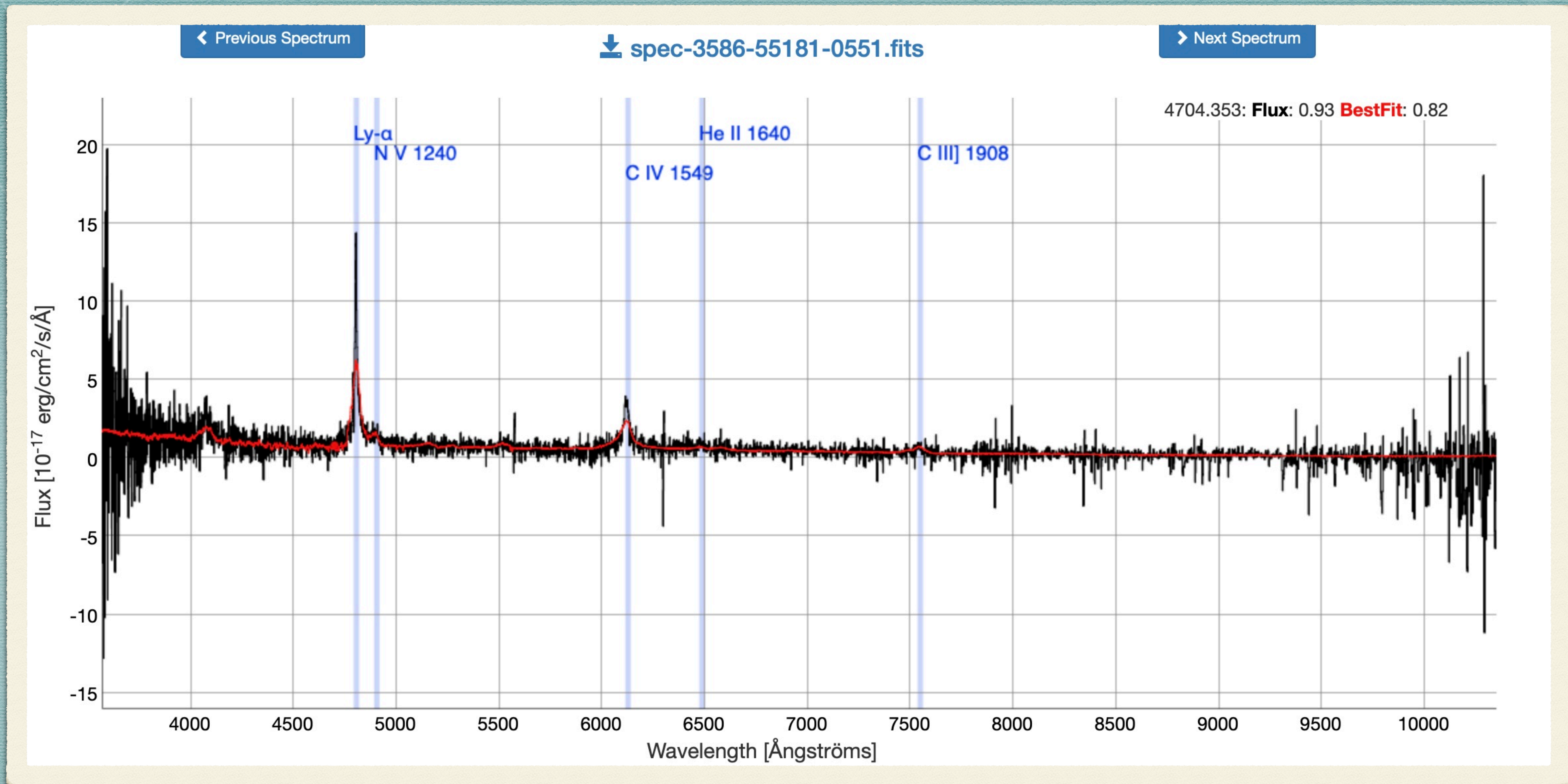
RUN2D v5_13_0 26 103 104 Select Survey Select Program Select Quality

Spectra Spectrum Plot

Plate	MJD	FiberID	specobj_id	RA	Dec	z	zerr	S/N	class	Plot	File	CAS
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3586	55181	88	4037501342117548032	00:35:15.82	-01:03:12.28	2.96102	0.000634	3.47	QSO			
3586	55181	174	4037524981617545216	00:34:05.42	-00:55:20.42	2.95275	0.000332	2.75	QSO			
3586	55181	232	4037540924536147968	00:32:53.24	-00:17:36.77	2.81025	0.000226	1.16	QSO			
3586	55181	412	4037590402559397888	00:29:24.78	-00:59:14.66	2.92087	0.000242	8.68	QSO			
3586	55181	490	4037611843036139520	00:26:44.23	-00:26:36.51	2.83104	0.000284	5.65	QSO			
3586	55181	551	4037628610588463104	00:28:14.88	+00:52:22.45	2.95677	0.000277	1.76	QSO			
3586	55181	680	4037664069838458880	00:30:17.11	+00:53:58.96	2.83604	0.000513	7.23	QSO			
3586	55181	740	4037680562512875520	00:31:09.57	+00:06:32.08	2.91754	0.000254	2.59	QSO			

Showing 1 to 10 of 27568 rows 10 rows per page

1 2 3 4 5 ... 2757



$Z = 2.95677$

Class QSO

```
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```

```
wget -i download_url.txt
```

wget -i filename.txt

DATA PREPROCESSING



Manipulation or remove some parts, or add some parts to data in order to ensure the quality (speed, accuracy, etc) of performance

Normalization: Transforming features to be on a similar scale

Vectorization: If it is an image we have to convert it into to the pixel values which can be interpreted by the neural networks.

Handle missing values: Replace with mean, median value


```
def _getData(filename, lower, upper, redshifted=False):

    obj=fits.open(filename)
    data = Table.read(obj,hdu=1).to_pandas()
    z = Table.read(obj,hdu=2)['Z'].item()
    data['lam'] = np.power(10,data['LOGLAM'])

    lam = np.linspace(lower,upper,2000)
    flux = np.interp(lam, data['lam'], data['FLUX'])

    # normalize data
    std = np.std(flux)
    avg = np.mean(flux)
    fluxNormalized = (flux-avg)/std
    dataSelected = pd.DataFrame({'lam': lam, 'flux': flux, 'fluxNormalized': fluxNormalized})
    return dataSelected,z
```

executed in 6ms, finished 17:01:42 2021-10-31

```
folder='Dataset-2.8-3'
filenames = [x for x in os.listdir(folder) if x.endswith(".fits")]

pixels = []
zs = []

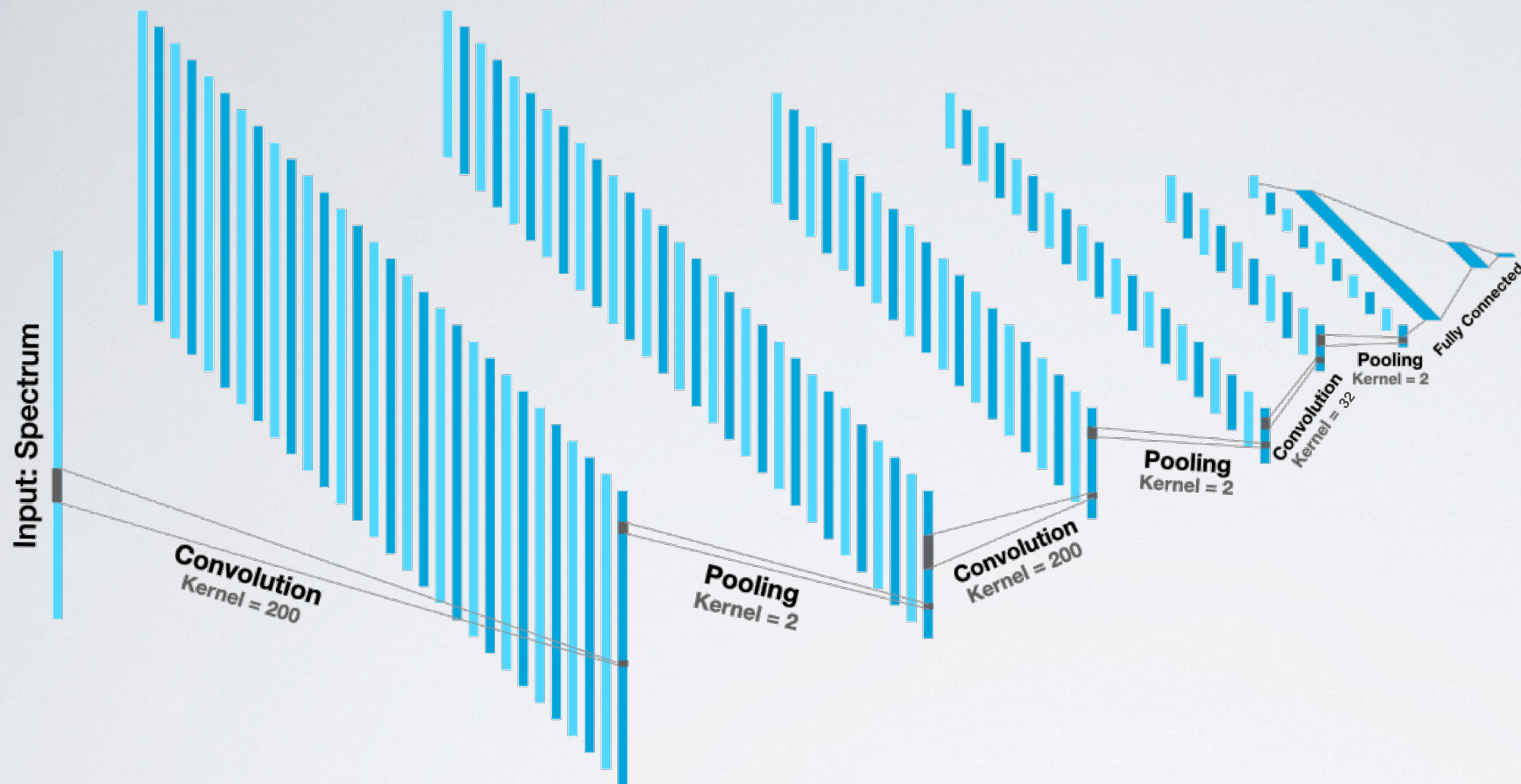
for filename in filenames:
    data, z = _getData(folder+'/' +filename ,lower=3500,upper=10000, redshifted=True )
    pixels.append(data['fluxNormalized'].tolist())
    zs.append(z)

pixels = np.asarray(pixels)
zs = np.asarray(zs)

pixels.tofile('flux-2.8-3.csv', sep=","")
zs.tofile('zpipe-2.8-3.csv', sep=","")
```

MEASURING REDSHIFT OF QUASARS

One Dimensional Convolutional Neural Network



Structure of one dimensional CNN. The spectrum of quasar is input as a one-dimensional array, which goes through the convolutional layer of kernel size = 200, 200, 20 respectively in order to search for the global and local pattern. The fully connected layers output the redshift.

YU WANG

```
class RNet(nn.Module):
    def __init__(self):
        super(RNet, self).__init__()
        self.layer1 = nn.Sequential(
            nn.Conv1d(1, 32, 200),
            nn.ReLU(),
            nn.MaxPool1d(2, stride=2))
        self.layer2 = nn.Sequential(
            nn.Conv1d(32, 16, 200),
            nn.ReLU(),
            nn.MaxPool1d(2, stride=2))
        self.layer3 = nn.Sequential(
            nn.Conv1d(16, 128, 20),
            nn.ReLU(),
            nn.MaxPool1d(2, stride=2))
        self.drop_out = nn.Dropout()
        self.fc1 = nn.Sequential(
            nn.Linear(8576, 900),
            nn.ReLU())
        self.fc2 = nn.Sequential(
            nn.Linear(900, 100),
            nn.ReLU())
        self.fc3 = nn.Linear(100, 1)

    def forward(self, x):
        x = x.unsqueeze(1)
        out = self.layer1(x)
        out = self.layer2(out)
        out = self.layer3(out)
        out = out.reshape(out.size(0), -1)
        out = self.drop_out(out)
        out = self.fc1(out)
        out = self.fc2(out)
        out = self.fc3(out)
        return out
```

Deep Learning in Searching the Spectroscopic Redshift of Quasars

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ABSTRACT

Study the cosmological sources at their cosmological rest-frames are crucial in order to track the cosmic history and properties of the compact objects. In view of increasing data volume of existing and upcoming telescopes/detectors we here apply the 1–dimensional convolutional neural network (CNN) to estimate the redshift of quasars in Sloan Digital Sky Survey IV (SDSS-IV)

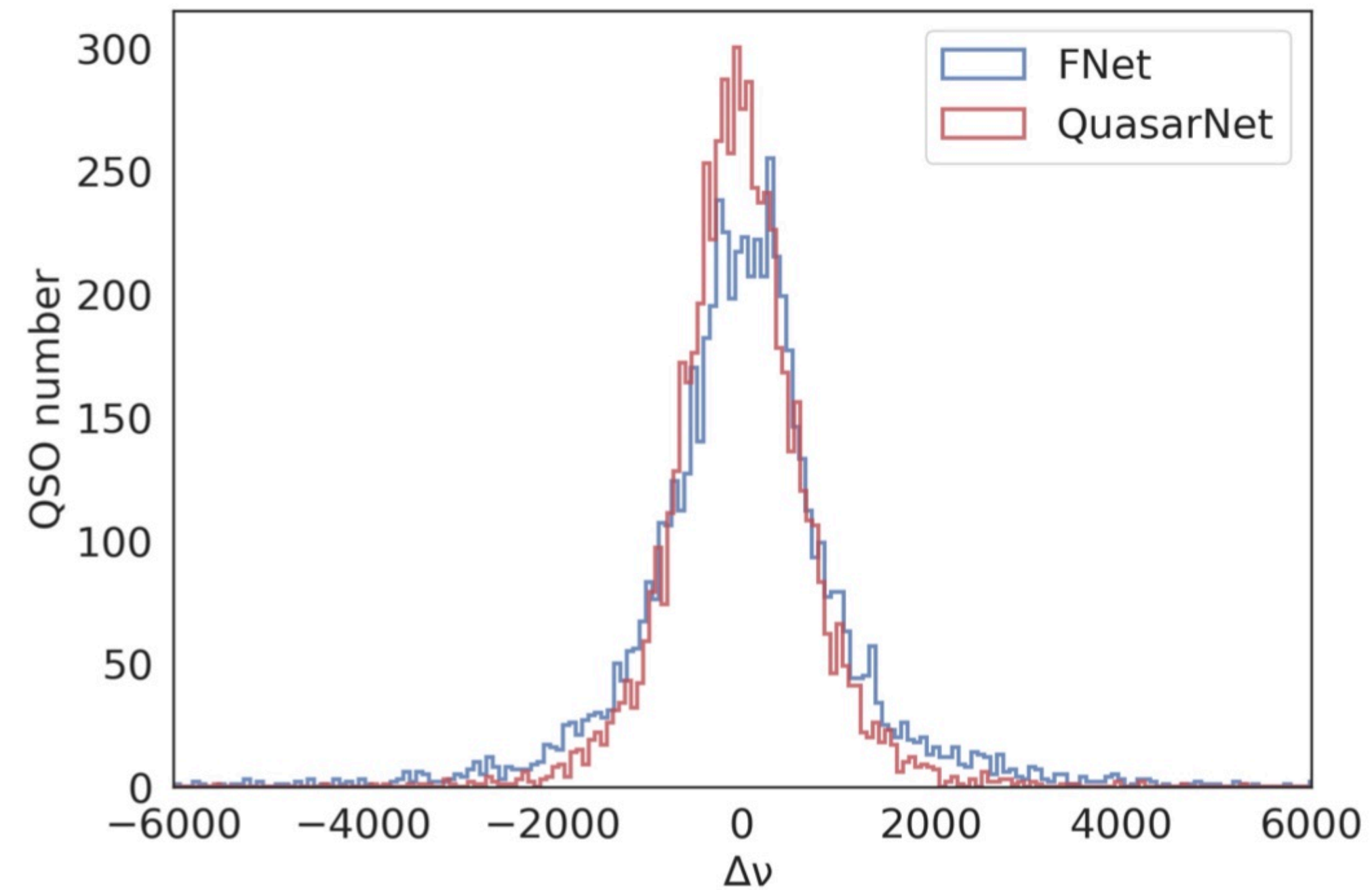
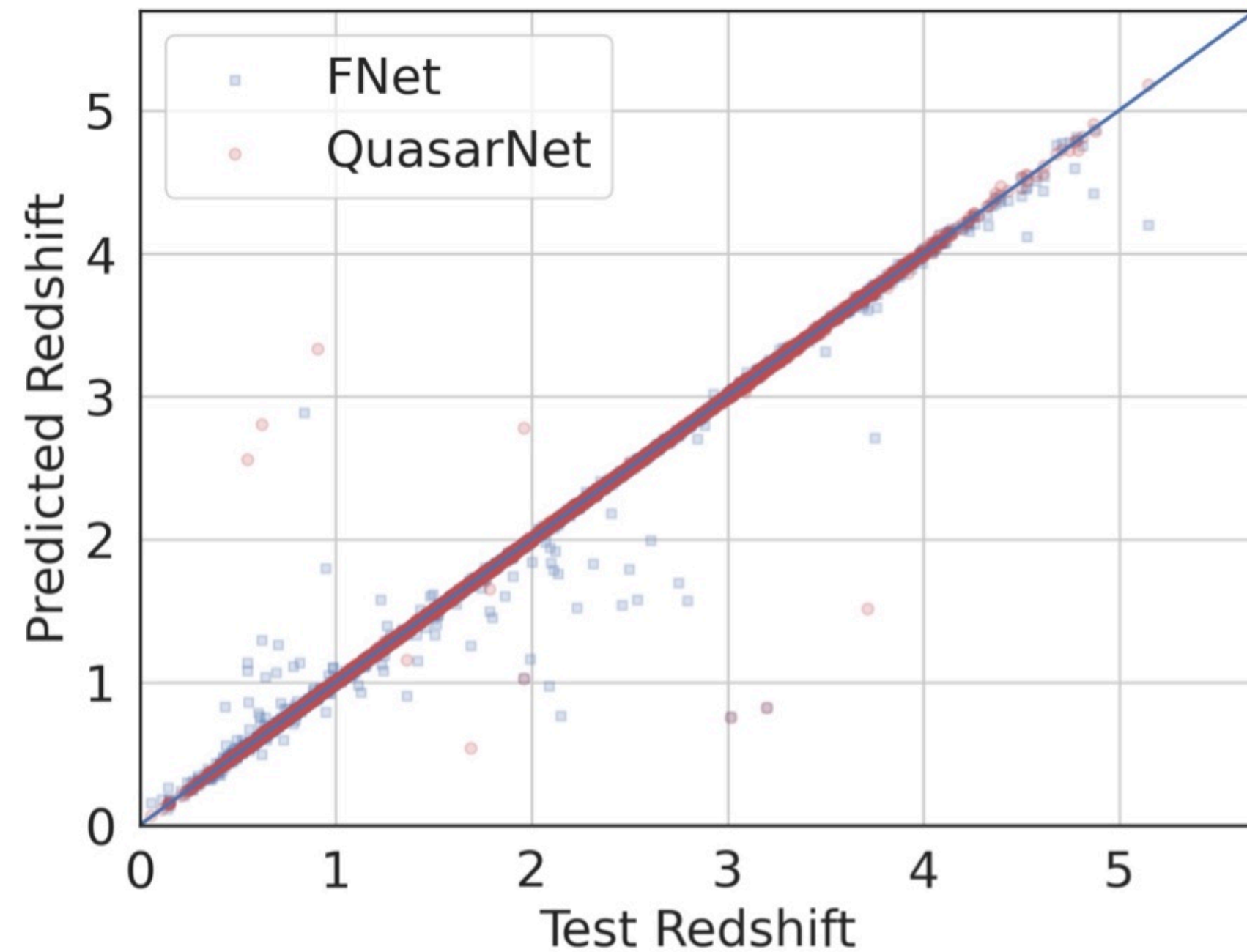


Figure: left: Redshift predicted by FNet and QuasarNet for $0 < z \leq 5.45$. The predicted redshift vs. the visually inspected redshift selected from the DR16Q catalog. **right:** The velocity difference for redshift predicted by FNet, QuasarNet and PCA when compared to visually inspected (VI) redshift. $|\Delta v| < 6000 \text{ km/s}$ in $0 < z \leq 5.45$; The accuracy for FNet is 98.3%. For Quasar Net is 99.8%. The accuracy for $|\Delta v| < 12000 \text{ km/s}$ is 99.0% for FNet and 99.8% for QuasarNet.